

Interplay between electron pairing and Dicke effect in triple quantum dot structures

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We study the influence of the proximity-induced pairing on an electronic version of the Dicke effect in a heterostructure, comprising three quantum dots vertically coupled between the metallic and superconducting leads. We discuss a feasible experimental procedure for detecting the narrow/broad (subradiant/superradiant) contributions by means of the subgap Andreev spectroscopy. In the Kondo regime and for small energy level detuning the Dicke effect is manifested in the differential conductance.

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I. INTRODUCTION

Triple quantum dots coupled to the reservoirs of mobile electrons enable realization of the electronic Dicke effect [1]. The original phenomenon, known in quantum optics, manifests itself by the narrow (*subradiant*) and broad (*superradiant*) line shapes spontaneously emitted by atoms linked on a distance smaller than a characteristic wavelength [2]. Early prototypes of its electronic counterpart have been considered by several groups [3–7].

In nanostructures, where the central quantum dot (QD₀) with two side-attached dots (QD_{±1}) are arranged in a crossed bar configuration (Fig. 1), the sub- and superradiant contributions can be achieved either upon increasing the interdot coupling $t_{±1}$ or via tuning the quantum dot energy levels $\epsilon_{±1} \rightarrow \epsilon_0$. Such a scenario has been investigated for heterojunctions with both normal (conducting) electrodes [8–13]. In particular, an interplay between the Kondo and Dicke effects, manifested in the differential conductance, has been addressed [10,11]. Moreover, it has been shown that the electronic Dicke effect substantially enhances the thermoelectric properties and can violate the Wiedemann-Franz law [13].

Selected aspects of the electronic Dicke effect have been confronted also with superconductivity, considering the Andreev [14–17] and Josephson-type [18,19] spectroscopies. To the best of our knowledge, however, a thorough description of the relationship between the induced electron pairing, the Dicke effect, and the strong correlations is missing. We address this problem here, focusing on the low-energy $|\omega| < \Delta$ (subgap) regime of the Andreev-type setup. Our main purpose is to establish knowledge on how the electron pairing and correlation effects are affected by the side-attached quantum dots QD_{±1}, ranging from the interferometric (weak $t_{±1}$ coupling) to the molecular (strong interdot coupling) limits. Our studies reveal strong redistribution of the spectral weights (although manifested differently for these extremes), suppressing the low-energy (subradiant) states. Transfer of this spectral weight has an influence on the subgap Kondo effect, which can be observed experimentally by the zero-bias Andreev conductance.

The paper is organized as follows. In Sec. II we introduce the microscopic model and describe the method accounting for the induced electron pairing. Section III corresponds to the case of uncorrelated quantum dots in the deep subgap regime, studying evolution of the central quantum dot spectrum from the weak to strong interdot coupling. Next, in Sec. IV, we discuss the correlation effects in the subgap Kondo regime. Finally, we summarize the results and present the conclusions.

II. MICROSCOPIC MODEL

The central quantum dot QD₀, placed between the normal (N) and superconducting (S) electrodes and side-attached to the quantum dots QD_{±1} as shown in Fig. 1, can be modeled by the Anderson-type Hamiltonian

$$\hat{H} = \hat{H}_{\text{QD}} + \hat{H}_N + \hat{H}_S + \hat{H}_{\text{QD-N}} + \hat{H}_{\text{QD-S}}. \quad (1)$$

The set of three quantum dots can be described by

$$\begin{aligned} \hat{H}_{\text{QD}} = & \sum_{\sigma,j} \epsilon_j \hat{d}_{j\sigma}^\dagger \hat{d}_{j\sigma} + \sum_{\sigma,j=\pm 1} (t_j \hat{d}_{0\sigma}^\dagger \hat{d}_{j\sigma} + \text{H.c.}) \\ & + \sum_j U_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow}, \end{aligned} \quad (2)$$

where $\hat{d}_{j\sigma}^{(\dagger)}$ annihilates (creates) the electron of the j th quantum dot with energy ϵ_j and spin $\sigma = \uparrow, \downarrow$. Hybridization between the quantum dots is characterized by the hopping integral $t_{±1}$. We denote the number operator by $\hat{n}_{j\sigma} = \hat{d}_{j\sigma}^\dagger \hat{d}_{j\sigma}$ and U_j stands for the Coulomb potential which is responsible for correlation effects.

We treat the normal (metallic) lead electrons as a free fermion gas $\hat{H}_N = \sum_{\mathbf{k},\sigma} \xi_{\mathbf{k}N} \hat{c}_{\mathbf{k}N\sigma}^\dagger \hat{c}_{\mathbf{k}N\sigma}$ and describe the superconductor by the BCS model $\hat{H}_S = \sum_{\mathbf{k},\sigma} \xi_{\mathbf{k}S} \hat{c}_{\mathbf{k}S\sigma}^\dagger \hat{c}_{\mathbf{k}S\sigma} - \sum_{\mathbf{k}} \Delta (\hat{c}_{\mathbf{k}S\uparrow}^\dagger \hat{c}_{-\mathbf{k}S\downarrow}^\dagger + \text{H.c.})$ with the isotropic energy gap Δ . Operators $\hat{c}_{\mathbf{k}\beta\sigma}^{(\dagger)}$ refer to the mobile electrons of external ($\beta = N, S$) electrodes whose energies $\xi_{\mathbf{k}\beta} = \epsilon_{\mathbf{k}} - \mu_{\beta}$ are expressed with respect to the chemical potentials μ_{β} . For convenience we choose $\mu_S = 0$ as a reference level. Tunneling between the central dot and the external leads is described by $\hat{H}_{\text{QD-}\beta} = \sum_{\mathbf{k},\sigma} (V_{\mathbf{k}\beta} \hat{c}_{\mathbf{k}\beta\sigma}^\dagger \hat{d}_{0\sigma} + \text{H.c.})$, where $V_{\mathbf{k}\beta}$ denote the matrix elements. Focusing on the subgap quasiparticle states we apply the wide-band limit approximation, assuming the energy independent couplings $\Gamma_{\beta} = 2\pi \sum_{\mathbf{k}} |V_{\mathbf{k}\beta}|^2 \delta(\omega - \epsilon_{\mathbf{k}\beta})$.

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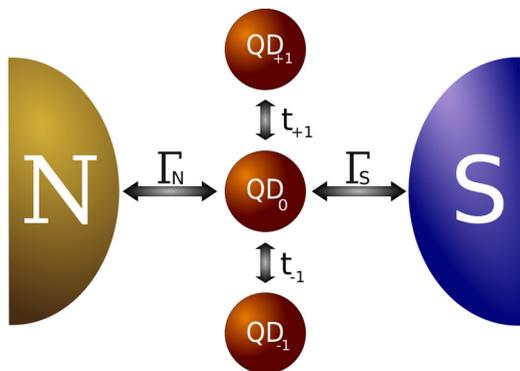


FIG. 1. Schematic view of three quantum dots (QD_j) arranged vertically between the normal (N) and superconducting (S) electrodes. The central quantum dot QD_0 is coupled by Γ_β to the external reservoirs and by $t_{\pm 1}$ to the side-attached quantum dots $\text{QD}_{\pm 1}$.

A. Superconducting proximity effect

Measurable properties of our heterostructure predominantly depend on the effective spectrum of the central quantum dot, which results from: (i) the proximity induced pairing, (ii) electron correlations, and (iii) influence of the side-attached quantum dots $\text{QD}_{\pm 1}$. The superconducting proximity effect mixes the particle and hole degrees of freedom, therefore we have to introduce the matrix Green's function

$$\mathbf{G}_j(t, t') = \begin{pmatrix} \langle\langle \hat{d}_{j\uparrow}(t); \hat{d}_{j\uparrow}^\dagger(t') \rangle\rangle & \langle\langle \hat{d}_{j\uparrow}(t); \hat{d}_{j\downarrow}(t') \rangle\rangle \\ \langle\langle \hat{d}_{j\downarrow}^\dagger(t); \hat{d}_{j\uparrow}^\dagger(t') \rangle\rangle & \langle\langle \hat{d}_{j\downarrow}^\dagger(t); \hat{d}_{j\downarrow}(t') \rangle\rangle \end{pmatrix}, \quad (3)$$

where $\langle\langle \hat{A}(t); \hat{B}(t') \rangle\rangle = -i\Theta(t-t')\langle[\hat{A}(t), \hat{B}(t')]\rangle$ is the retarded fermion propagator. In a stationary case (for a time-independent Hamiltonian) the Green's function (3) depends on $t-t' \equiv \tau$ and its Fourier transform $\mathbf{G}_j(\omega) \equiv \int d\tau e^{-i\omega\tau} \mathbf{G}_j(\tau)$ obeys the Dyson equation

$$[\mathbf{G}_j(\omega)]^{-1} = \begin{pmatrix} \omega - \epsilon_j & 0 \\ 0 & \omega + \epsilon_j \end{pmatrix} - \boldsymbol{\Sigma}_j(\omega). \quad (4)$$

The self-energy matrix $\boldsymbol{\Sigma}_j(\omega)$ describes influence of the interdot couplings, the external leads, and the correlations. In general, its analytic form is unknown.

B. Features of a weak interdot coupling

It is instructive to analyze first how the side-attached quantum dots come along with the proximity induced electron pairing, neglecting the correlations $U_j = 0$. The self-energy of uncorrelated QD_0 is given by

$$\begin{aligned} \boldsymbol{\Sigma}_0^{U=0}(\omega) &= \begin{pmatrix} \frac{-i\Gamma_N}{2} - \frac{i\Gamma_S}{2} \tilde{\rho}(\omega) & -\frac{i\Gamma_S}{2} \tilde{\rho}(\omega) \frac{\Delta}{\omega} \\ -\frac{i\Gamma_S}{2} \tilde{\rho}(\omega) \frac{\Delta}{\omega} & \frac{-i\Gamma_N}{2} - \frac{i\Gamma_S}{2} \tilde{\rho}(\omega) \end{pmatrix} \\ &+ \sum_{j=\pm 1} \begin{pmatrix} \frac{t_j^2}{\omega - \epsilon_j} & 0 \\ 0 & \frac{t_j^2}{\omega + \epsilon_j} \end{pmatrix}, \end{aligned} \quad (5)$$

where

$$\tilde{\rho}(\omega) = \begin{cases} \frac{\omega}{\sqrt{\Delta^2 - \omega^2}} & \text{for } |\omega| \leq \Delta, \\ \frac{i|\omega|}{\sqrt{\omega^2 - \Delta^2}} & \text{for } |\omega| > \Delta. \end{cases} \quad (6)$$

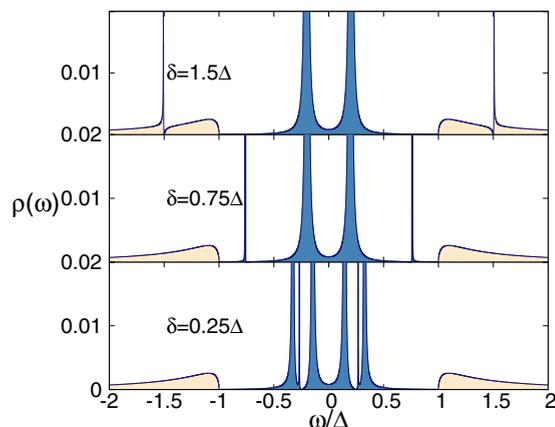


FIG. 2. Spectral function $\rho(\omega)$ (in units of $\frac{2}{\pi\Gamma_N}$) of the central dot obtained for $\epsilon_0 = 0$, $\Gamma_S/\Delta = 0.5$, $\Gamma_N/\Delta = 0.01$, $U_0 = 0$, $t/\Delta = 0.15$ and representative detunings $\delta/\Delta = 1.5$ (top), 0.75 (middle), and 0.25 (bottom panel).

Let us inspect the spectral function of QD_0 ,

$$\rho(\omega) = -\frac{1}{\pi} \text{Im}\{G_{0,11}(\omega + i0^+)\}, \quad (7)$$

assuming the side-attached quantum dots to be weakly coupled to the central dot. Following the previous studies of three quantum dots on interface between two metallic electrodes [9–11] we impose $t_{-1} = t_{+1} \equiv t$ and define the energy detuning $\epsilon_{+1} - \epsilon_0 = \epsilon_0 - \epsilon_{-1} \equiv \delta$. Figure 2 shows $\rho(\omega)$ for the asymmetric couplings $\Gamma_S > \Gamma_N$, when the quasiparticle states of the subgap regime (marked by blue color in Fig. 2) are sufficiently narrow (long-lived). For the large detuning $\delta > \Delta$ (top panel) we observe two Fano-type resonances appearing outside the superconducting gap at $\omega = \pm\delta$. For the moderate detuning $\delta = 0.75\Delta$ (middle panel) there appear some features inside the superconducting gap, but they no longer resemble Fano-type line shapes. For the very small detuning $\delta = 0.25\Delta$ (bottom panel), a rather complicated subgap structure emerges. To clarify its physical origin, we explore in Sec. III the deep subgap regime $|\omega| \ll \Delta$.

III. SUBGAP DICKE EFFECT VS PAIRING

In this part we study in more detail the extreme subgap region $|\omega| \ll \Delta$, for which the self-energy (5) simplifies to

$$\lim_{|\omega| \ll \Delta} \boldsymbol{\Sigma}_0^{U=0}(\omega) = \begin{pmatrix} \frac{-i\Gamma_N}{2} + \sum_j \frac{t_j^2}{\omega - \epsilon_j} & -\frac{\Gamma_S}{2} \\ -\frac{\Gamma_S}{2} & \frac{-i\Gamma_N}{2} + \sum_j \frac{t_j^2}{\omega + \epsilon_j} \end{pmatrix}, \quad (8)$$

with summation running over $j = \pm 1$. The presence of the superconducting reservoir shows up in the self-energy (8) through the static off-diagonal terms, which can be interpreted as the induced on-dot pairing potential [20].

A. From interferometric to molecular regions

Figure 3 presents the spectral function $\rho(\omega)$ obtained for $\Gamma_S = 2\Gamma_N$ and several values of the interdot coupling, ranging from the interferometric (small t) to the molecular (large

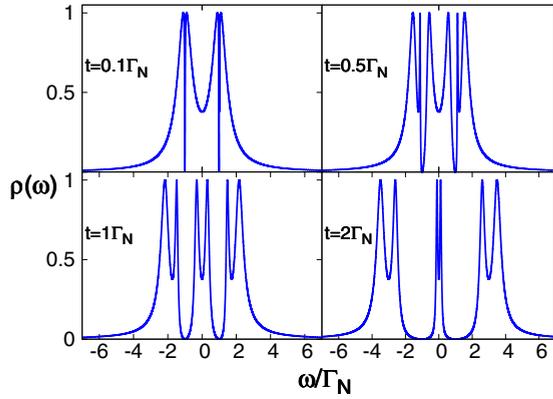


FIG. 3. Electronic spectrum of QD₀ obtained for $\Gamma_S = 2\Gamma_N$, $\delta = \Gamma_N$, $U_j = 0$, $\varepsilon_0 = 0$, and various interdot couplings t .

t) regimes. For the weak coupling $t = 0.1\Gamma_N$ we observe the Fano-type line shapes at $\varepsilon_{\pm 1}$ appearing on top of the Andreev quasiparticles that are centered at $\pm\sqrt{\varepsilon_0^2 + (\Gamma_S/2)^2}$. With increasing t the spectrum gradually evolves to the “molecular” structure, characterized by the subradiant (narrow central) quasiparticle and superradiant (broad side-peaks) states. Similar tendency has been reported for the heterojunction with both normal leads [9–11]. In the present case, however, we observe additional qualitative changes caused by the proximity effect. Figure 4 shows the evolution of the spectral function $\rho(\omega)$ with respect to Γ_S . At some critical coupling $\Gamma_S \approx 0.6\Gamma_N$ the sub- and superradiant states effectively split due to the on-dot electron pairing. We denote these splittings by Δ_c for the central peak and by Δ_s for the side peaks, respectively. Their magnitudes are displayed in Fig. 5.

We notice that particle-hole splitting of the central (subradiant) peak differs from the corresponding effect in the side (superradiant) peaks, see the upper panel of Fig. 6 which shows the spectral function of the middle quantum dot QD₀. The symmetric shape of the subradiant quasiparticle is perfectly preserved, but with increasing Γ_S its internal splitting

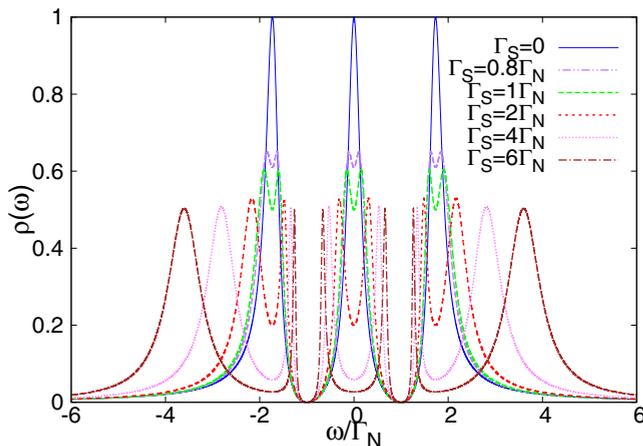


FIG. 4. Spectral function $\rho(\omega)$ of the uncorrelated QD₀ obtained in the molecular region $t = \Gamma_N$ for $\delta = \Gamma_N$, $\varepsilon_0 = 0$, $U_j = 0$, and various couplings Γ_S , as indicated.

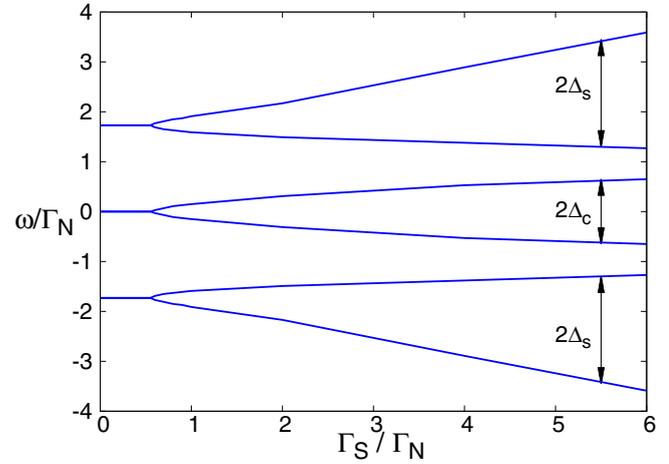


FIG. 5. Splitting of the subradiant (Δ_c) and superradiant (Δ_s) quasiparticle states caused by the superconducting proximity effect for $t = \Gamma_N$, $\delta = \Gamma_N$, $\varepsilon_0 = 0$, $U_j = 0$.

is bounded from above ($\Delta_c \rightarrow \delta$). Such limitation comes from the destructive quantum interference, which depletes the electronic states around $\varepsilon_{\pm 1}$. On the other hand, the

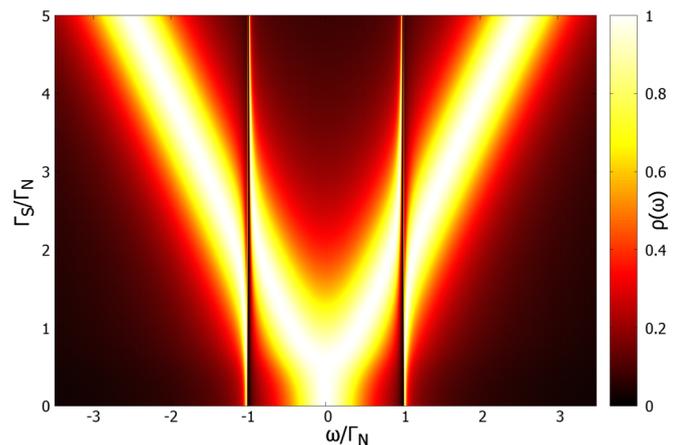
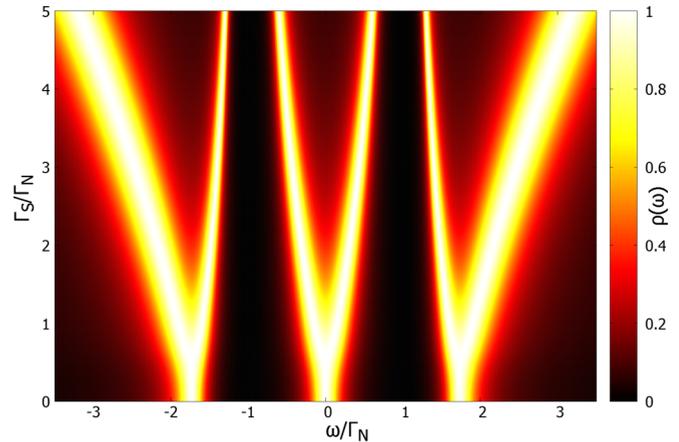


FIG. 6. Electronic spectrum of the central QD₀ in the molecular $t = 1\Gamma_N$ (upper panel) and interferometric $t = 0.15\Gamma_N$ (bottom panel) regions obtained for $U_j = 0$, $\varepsilon_0 = 0$, $\delta = \Gamma_N$.

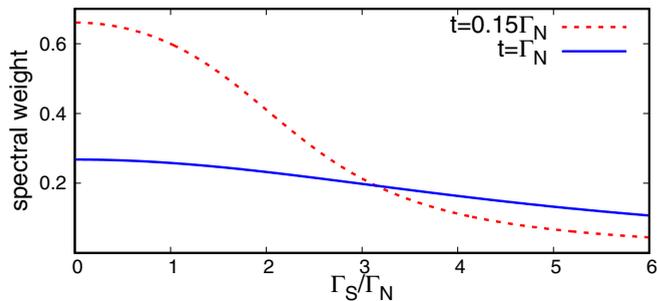


FIG. 7. Spectral weight of the low energy electronic states for $\omega \in [\epsilon_{-1}, \epsilon_{+1}]$ caused by the electron pairing for the interferometric (dashed line) and molecular (solid line) regions.

superradiant quasiparticle peaks are not much affected by any constraints, therefore Δ_s monotonously grows with increasing Γ_S . We observe, however, that such superradiant states acquire an asymmetric shape with the narrow structure slightly outside $|\epsilon_{\pm 1}|$ and another broader peak in the high energy regime. In the extremely strong Γ_S coupling limit, the high energy peaks absorb the majority of the spectral weight.

On the other hand, in the interferometric regime (see bottom panel in Fig. 6) we observe the Andreev quasiparticle states (centered around $\pm\Gamma_S/2$ and their broadening equals Γ_N) with the Fano-type line shapes appearing at $\omega = \epsilon_{\pm 1}$. Total spectral weight contained in the regime $\omega \in [\epsilon_{-1}, \epsilon_{+1}]$ is gradually washed out with increasing Γ_S . Such transfer of the spectral weight for the molecular and interferometric cases is displayed in Fig. 7. In both cases the induced electron pairing depletes the low-energy quasiparticle states by transferring their spectral weight towards the higher energy quasiparticle states. Section IV shows that this process constructively affects the Kondo effect.

B. Subgap tunneling conductance

Any experimental verification of the subgap energy spectrum can be performed by measuring the tunneling current, induced under nonequilibrium conditions $\mu_N - \mu_S = eV$ (where V is an applied voltage). At low voltage the subgap current is provided solely by the anomalous Andreev channel, when electrons are scattered back to N electrode as holes, injecting the Copper pairs to a superconducting electrode. Within the Landauer approach such current can be expressed by

$$I(V) = \frac{2e}{h} \int d\omega T_A(\omega) [f_{\text{FD}}(\omega - eV) - f_{\text{FD}}(\omega + eV)],$$

where $f_{\text{FD}}(\omega) = [1 + \exp(\omega/k_B T)]^{-1}$ is the Fermi-Dirac distribution function. The Andreev transmittance $T_A(\omega) = \Gamma_N^2 |\mathbf{G}_{0,12}(\omega)|^2$ is a quantitative measure of the proximity induced pairing which indirectly probes the subgap electronic spectrum, although in a symmetrized manner, because the particle and hole degrees of freedom equally contribute to such transport channel.

Figure 8 shows the differential Andreev conductance $G(V) = dI(V)/dV$ obtained for the uncorrelated quantum dots. We can notice that the subgap transport properties are sensitive to both the quantum interference (for small t) or

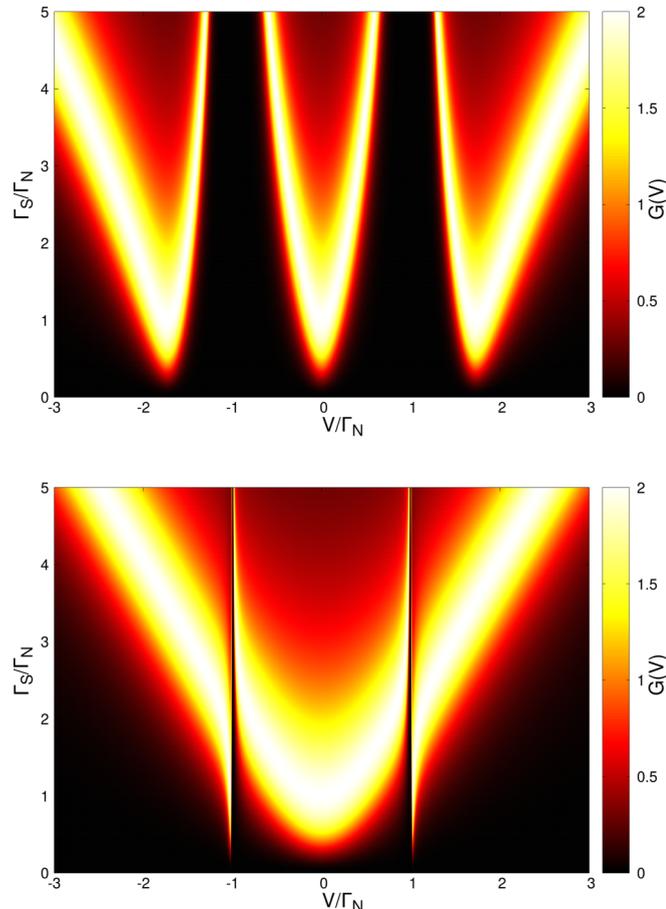


FIG. 8. The differential Andreev conductance $G(V)$ (in units of $2e^2/h$) obtained for the same model parameters as in Fig. 6.

the Dicke-like effect (for the strong interdot coupling). The optimal conductance $4e^2/h$ occurs at such voltages V , which coincide with the subgap quasiparticle energies. The Andreev spectroscopy would thus be able to verify the aforementioned relationship of the interferometric and/or Dicke effect with the proximity induced electron pairing.

IV. INTERPLAY WITH KONDO EFFECT

Repulsive interactions U_j between opposite spin electrons can induce further important effects. It is convenient to describe their influence, expressing the matrix Green's function $\mathbf{G}_j(\omega)$ via [20,21]

$$\mathbf{G}_j(\omega) = \mathbf{G}_j^0(\omega) + \mathbf{G}_j^0(\omega) U_j \mathbf{F}_j(\omega), \quad (9)$$

where $\mathbf{G}_j^0(\omega)$ refers to the case $U_j = 0$, and the two-body Green's function $\mathbf{F}_j(\omega)$ is defined as

$$\mathbf{F}_j(\omega) = \begin{pmatrix} \langle\langle \hat{d}_{j\uparrow} \hat{n}_{j\downarrow}; \hat{d}_{j\uparrow}^\dagger \rangle\rangle & \langle\langle \hat{d}_{j\uparrow} \hat{n}_{j\downarrow}; \hat{d}_{j\downarrow} \rangle\rangle \\ \langle\langle -\hat{d}_{j\downarrow}^\dagger \hat{n}_{j\uparrow}; \hat{d}_{j\uparrow}^\dagger \rangle\rangle & \langle\langle -\hat{d}_{j\downarrow}^\dagger \hat{n}_{j\uparrow}; \hat{d}_{j\downarrow} \rangle\rangle \end{pmatrix}. \quad (10)$$

In this paper we focus on the correlation effects driven by the potential U_0 , because it has the predominant influence on measurable transport properties of our system. As concerns $U_{\pm 1}$, they could merely mimic the multilevel structure of the side-coupled dots. In experimental realizations of the

correlated quantum dots coupled to the superconducting electrodes [22–25] the Coulomb potential U_j usually exceeds the superconducting energy gap Δ (at least by one order of magnitude). Under such circumstances the correlation effects manifest themselves in the subgap regime $|\omega| < \Delta$ in a rather peculiar way, via (i) the singlet-doublet transition (or crossover) and (ii) the subgap Kondo effect [25,26].

A. Perturbative approach

The singlet-doublet transition can be captured already within the lowest order (Hartree-Fock-Bogoliubov) decoupling scheme

$$U_0 \mathbf{F}_0(\omega) \approx U_0 \underbrace{\begin{pmatrix} \langle \hat{n}_{0\downarrow} \rangle & \langle \hat{d}_{0\downarrow} \hat{d}_{0\uparrow} \rangle \\ \langle \hat{d}_{0\uparrow}^\dagger \hat{d}_{0\downarrow}^\dagger \rangle & -\langle \hat{n}_{0\uparrow} \rangle \end{pmatrix}}_{\Sigma_0^{1st}} \mathbf{G}_0(\omega). \quad (11)$$

As usual the first order correction (with respect to U_0) to the self-energy is static, therefore it can be incorporated into the renormalized energy level $\tilde{\epsilon}_0 \equiv \epsilon_0 + U_0 \langle \hat{n}_{0\sigma} \rangle$ and the effective pairing potential $\tilde{\Gamma}_S/2 \equiv \Gamma_S/2 - U_0 \langle \hat{d}_{0\downarrow} \hat{d}_{0\uparrow} \rangle$. Such Hartree-Fock-Bogoliubov corrections (11) imply a crossing of the subgap Andreev states when the ground state changes from the spinful to spinless configuration upon increasing the ratio of Γ_S/U_0 . This effect is known to reverse the tunneling current in the Josephson junctions (so called, $0-\pi$ transition) and has been extensively studied (see Ref. [27] for a comprehensive discussion).

To describe the subgap Kondo effect it is, however, necessary to go beyond the mean field approximation (11), taking into account the higher order (dynamic) corrections

$$U_0 \mathbf{F}_0(\omega) = [\Sigma_0^{1st} + \Sigma_0^{dyn}(\omega)] \mathbf{G}_0(\omega). \quad (12)$$

Formally, Eq. (12) can be recast into the Dyson form $\mathbf{G}_0(\omega)^{-1} = \mathbf{G}_0^0(\omega)^{-1} - [\Sigma_0^{1st} + \Sigma_0^{dyn}(\omega)]$. Obviously the dynamic part $\Sigma_0^{dyn}(\omega)$ can be estimated only approximately, because the present problem is not solvable.

In the limit $|\omega| \ll \Delta$ the diagonal and off-diagonal parts of the Green's function $\mathbf{G}_0(\omega)$ are interdependent through the (exact) relation [20]

$$(\tilde{\omega} - \epsilon_0) \mathbf{G}_{0,11}(\omega) = 1 - \frac{\Gamma_S}{2} \mathbf{G}_{0,21}(\omega) + U_0 \mathbf{F}_{0,11}(\omega). \quad (13)$$

Here $\tilde{\omega} = \omega - \sum_{\mathbf{k}} \frac{|V_{\mathbf{k}N}|^2}{\omega - \xi_{\mathbf{k}N}}$, which in the wide-band limit simplifies to $\tilde{\omega} = \omega + i\Gamma_N/2$. We determine the two-body propagator $\mathbf{F}_{0,11}(\omega) = \langle \langle \hat{d}_{0\uparrow} \hat{n}_{0\downarrow}; \hat{d}_{0\uparrow}^\dagger \rangle \rangle$ using the decoupling scheme within the equation of motion procedure [28]

$$\mathbf{F}_{0,11}(\omega) \simeq \frac{\langle \hat{n}_{0\downarrow} \rangle - \gamma_1(\omega) \mathbf{G}_{0,11}(\omega)}{\tilde{\omega} - \epsilon_0 - U_0 - \gamma_3(\omega)}, \quad (14)$$

where the auxiliary functions $\gamma_\nu(\omega)$ are defined as

$$\gamma_\nu(\omega) = \sum_{\mathbf{k}} \left[\frac{|V_{\mathbf{k}N}|^2}{\omega - \xi_{\mathbf{k}N}} + \frac{|V_{\mathbf{k}N}|^2}{\omega - U_0 - 2\epsilon_0 + \xi_{\mathbf{k}N}} \right] \times \begin{cases} f_{\text{FD}}(\xi_{\mathbf{k}N}) & \text{for } \nu = 1, \\ 1 & \text{for } \nu = 3. \end{cases} \quad (15)$$

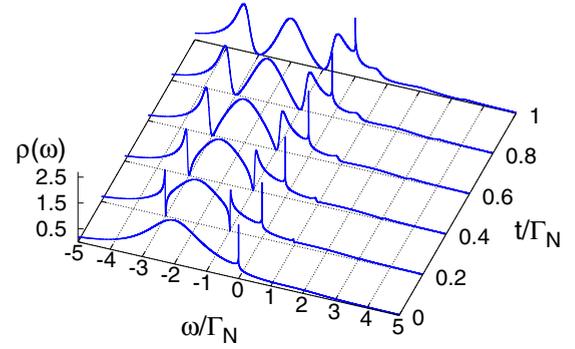


FIG. 9. Evolution of the spectral function $\rho(\omega)$ obtained for the strongly correlated QD_0 in the Kondo region from the interferometric (small t) to molecular (large t) limits. Calculations have been done for $\mu_N = 0$, $\epsilon_0 = -2\Gamma_N$, $\delta = \Gamma_N$, $\Gamma_S = 4\Gamma_N$, and $U_0 = 100\Gamma_N$.

This method implies the diagonal self-energy $\Sigma_{0,11}(\omega) = \Sigma_{0,11}^{1st} + \Sigma_{0,11}^{dyn}(\omega)$ in the familiar form [28]

$$\frac{1}{\omega - \epsilon_0 - \Sigma_{0,11}(\omega)} = \frac{1 - \langle \hat{n}_{0\downarrow} \rangle}{\tilde{\omega} - \epsilon_0 + \frac{U_0 \gamma_1(\omega)}{\tilde{\omega} - \epsilon_0 - U_0 - \gamma_3(\omega)}} + \frac{\langle \hat{n}_{0\downarrow} \rangle}{\tilde{\omega} - \epsilon_0 - U_0 + \frac{U_0 [\gamma_1(\omega) - \gamma_3(\omega)]}{\tilde{\omega} - \epsilon_0 - \gamma_3(\omega)}}. \quad (16)$$

The off-diagonal term $\Sigma_{0,21}(\omega)$ can be obtained from Eqs. (13) and (14). Such a procedure provides the qualitative insight into the Kondo effect, spectroscopically manifested by the narrow Abrikosov-Suhl peak at $\omega = \mu_N$.

We now investigate the effect of the interdot coupling on the Andreev spectroscopy, considering the interferometric and the molecular regions. Figure 9 shows the spectrum of QD_0 in the Kondo regime at temperature $T = 10^{-6}\Gamma_N$ for $\epsilon_0 = -2\Gamma_N$, $\delta = \Gamma_N$, $\Gamma_S = 4\Gamma_N$, assuming the large Coulomb potential $U_0 = 100\Gamma_N$. Initially, for $t = 0$, the spectral function $\rho(\omega)$ reveals: (i) the quasiparticle peak at $\omega \approx \epsilon_0$, (ii) its tiny particle-hole companion at $\omega \approx -\epsilon_0$ (let us remark that superconducting proximity effect substantially weakens upon increasing $|\epsilon_0|/\Gamma_S$), and (iii) the narrow Abrikosov-Suhl peak at $\omega = \mu_N$ (manifesting the Kondo effect). For the weak interdot coupling $t \ll \Gamma_N$, we notice the appearance of the Fano-type (interferometric) features at $\omega = \epsilon_{\pm 1}$. For the stronger coupling t , the spectrum of QD_0 evolves to its molecularlike structure, resembling the one discussed in the preceding section. Upon increasing t , the subradiant quasiparticle (centered around ϵ_0) gradually narrows, whereas the superradiant quasiparticles absorb more and more spectral weights. Such transfer of the spectral weight indirectly amplifies the Abrikosov-Suhl peak, existing on the upper superradiant quasiparticle.

In the discussed case the Dicke effect constructively amplifies the Abrikosov-Suhl peak, but in general the Kondo effect can depend on the detuning δ . This is illustrated in Fig. 10, where upon varying $\epsilon_{\pm 1} - \epsilon_0$ the Abrikosov-Suhl peak is enhanced up to some critical detuning $\delta_{\text{crit}} \sim t$, at which destructive interference depletes all the electronic states near μ_N .

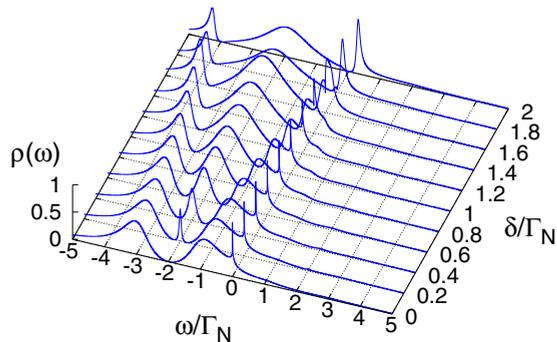


FIG. 10. Spectral function of the correlated central quantum dot in the Kondo regime obtained for $t = 2\Gamma_N$ using $\epsilon_0 = -2\Gamma_N$, $\Gamma_S = 4\Gamma_N$, $U_0 = 100\Gamma_N$.

In Fig. 11 we show the differential Andreev conductance obtained for our setup at temperature $T = 10^{-6}\Gamma_N$ as a function of the voltage V and the interdot coupling t . In the absence of the side-attached dots ($t = 0$) we notice two broad maxima at $|eV| \approx \epsilon_0$ (corresponding to energies of the subgap quasiparticle states) and the zero-bias peak (due to the Kondo effect). For finite and weak interdot coupling $t \ll \Gamma_N$, the quantum interference starts to play a role as manifested by the asymmetric Fano-type resonances at $\epsilon_{\pm 1}$. With further increase of t we observe development of the sub- and superradiant features, typical for the molecular regime. Transfer of the spectral weight from the subradiant to superradiant states amplifies the zero-bias conductance (bright region at $V \sim 0$).

Figure 12 shows the evolution of the differential Andreev conductance with respect to δ for the same set of parameters as used in Fig. 10. Since the zero-bias conductance probes the quasiparticle states at $\omega \sim 0$, it tells us (indirectly) about behavior of the subgap Kondo effect. The ongoing redistribution of the spectral weight between the subradiant and superradiant states enhances this zero-bias conductance until the critical detuning $\delta_c \approx t$. Above this critical detuning, the Kondo effect

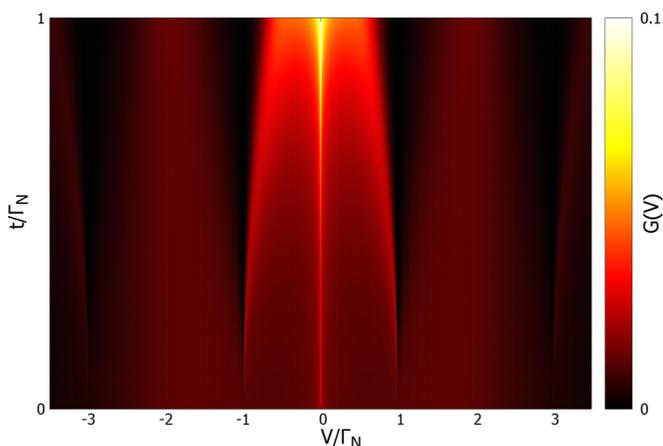


FIG. 11. The differential Andreev conductance $G(V) = dI(V)/dV$ (in units $2e^2/h$) as a function of the interdot coupling t , ranging from the weak (interferometric) to molecular (Dicke) regions. Calculations have been done for the same model parameters as in Fig. 9.

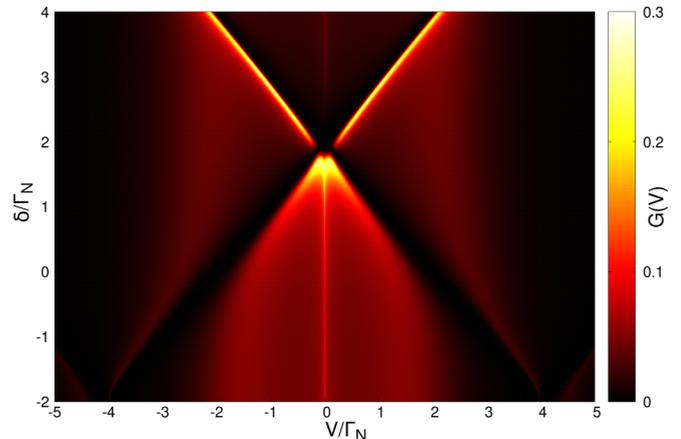


FIG. 12. The differential Andreev conductance $G(V)$ versus δ obtained for the same set of parameters used in Fig. 10.

is completely washed out, signaling qualitative change of the QD₀ ground state. For a better understanding of the low energy physics, we perform nonperturbative calculations based on the numerical renormalization group (NRG) technique.

B. NRG results

For a reliable analysis of a subtle interplay between the correlations, the induced electron pairing, and the sub/superradiant Dicke states we performed the numerical renormalization group calculations [29]. Our major concern was to investigate the low energy Kondo physics appearing in the subgap regime due to the spin-exchange interactions between the central quantum dot and the metallic lead [26]. In such a deep subgap regime (8) the quantum dot hybridized with the superconducting reservoir can be described by the effective Hamiltonian [20,30]

$$\hat{H}_{\text{QD}} + \hat{H}_S + \hat{H}_{\text{QD-S}} \rightarrow \hat{H}_{\text{QD}} - \frac{\Gamma_S}{2} (\hat{d}_{0\uparrow}^\dagger \hat{d}_{0\downarrow}^\dagger + \hat{d}_{0\downarrow} \hat{d}_{0\uparrow}).$$

Under such conditions the initial Hamiltonian (1) simplifies to the single-channel model, allowing for a vast reduction of computation efforts. We performed NRG calculations, using the Budapest Flexible DM-NRG code [31] for constructing the density matrix of the system [32,33] and determining the matrix Green's function (3). During the calculations we exploited the spin SU(2) symmetry and kept $N_{\text{kept}} = 3000$ multiplets. We obtained the satisfactory solution within $N = 50$ iterative steps, assuming a flat density of states of the normal lead with a cutoff $D = U_0$ and imposing the discretization parameter $\Lambda = 2$. To improve the quality of the spectral data, our results were averaged over $N_z = 4$ interleaved discretizations [34]. Next, we determined the real parts of $G(\omega)$ (needed for the Andreev transmittance) from the Kramers-Krönig relations.

Figure 13 presents the spectral function obtained by NRG for QD₀ using $\Gamma_N = 0.4U_0$ and $\delta = T_K = 0.044U_0$, where the Kondo temperature T_K is estimated with the Haldane formula [35] in the case of $t = \Gamma_S = 0$. For $t = \delta$, some similarities to the bottom panel of Fig. 6 can be observed. First of all, for $\Gamma_S = 0$, the spectral function $\rho(\omega)$ exhibits a peak at $\omega = 0$ and two side peaks at frequencies $\omega \approx \pm\delta$. When $\delta = T_K$, the side peaks are very close to the central one and are definitely

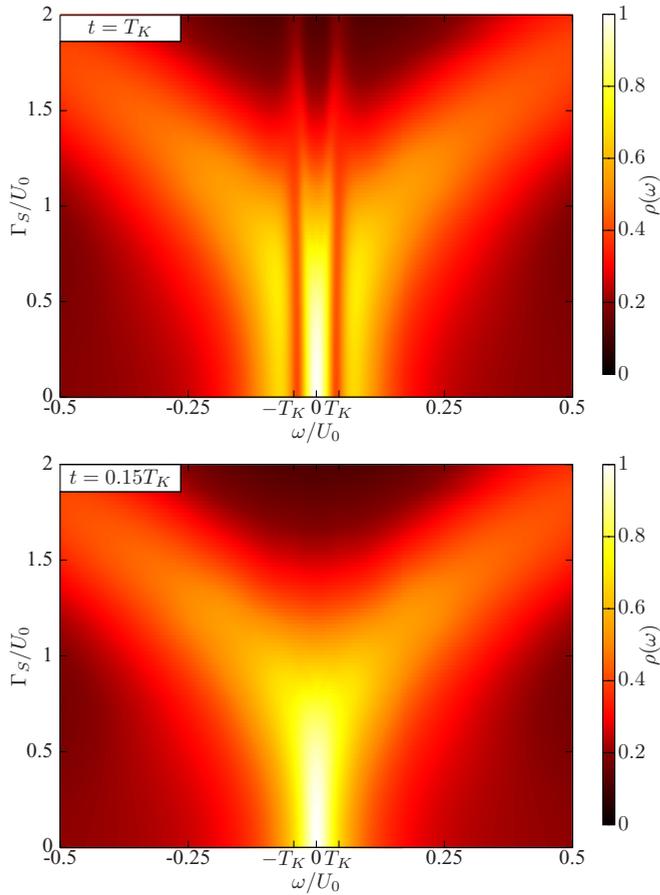


FIG. 13. The spectral function of the half-filled QD_0 obtained by NRG for $\Gamma_N = 0.4U_0$, $\delta = T_K = 0.044U_0$, $T = 0$, and $t = \delta$ (top panel) or $t = 0.15\delta$ (bottom panel).

less sharp than for the noninteracting case presented in Fig. 6. For $\Gamma_S \gtrsim U_0$, the Abrikosov-Suhl peak smoothly evolves into the Andreev quasiparticle states [26] and the spectral weight is successively shifted towards the side peaks. For stronger coupling Γ_S , the Kondo effect is no longer present.

For $t = 0.15T_K$, the situation is rather different (bottom panel of Fig. 13) because instead of the Dicke effect we can see only some interferometric signatures. For small Γ_S , the spectral function is characterized by the single Abrikosov-Suhl peak. With increasing Γ_S such a peak gradually broadens, and finally for $\Gamma_S \gtrsim U_0$ it splits because of a quantum phase transition from the spinful (doublet) to the spinless (singlet) configurations [20,26]. We presume that the interdot coupling $t = 0.15T_K$ is too weak to have any significant influence on the low-energy properties of our system (unlike the case considered in the bottom panel of Fig. 6). Yet, the spectral weight transfer towards the higher energies with increasing Γ_S is quite evident.

The observations shown in Fig. 13 have their consequences for the measurable transport quantities. Results for the zero-temperature Andreev transmittance $T_A(\omega)$ obtained by the NRG calculations are presented in Fig. 14. At zero temperature, the Andreev transmittance has a simple relationship with the differential conductance $G(V) = \frac{2e^2}{h} [T_A(\omega = eV) + T_A(\omega = -eV)]$. For small Γ_S , the energetically favorable

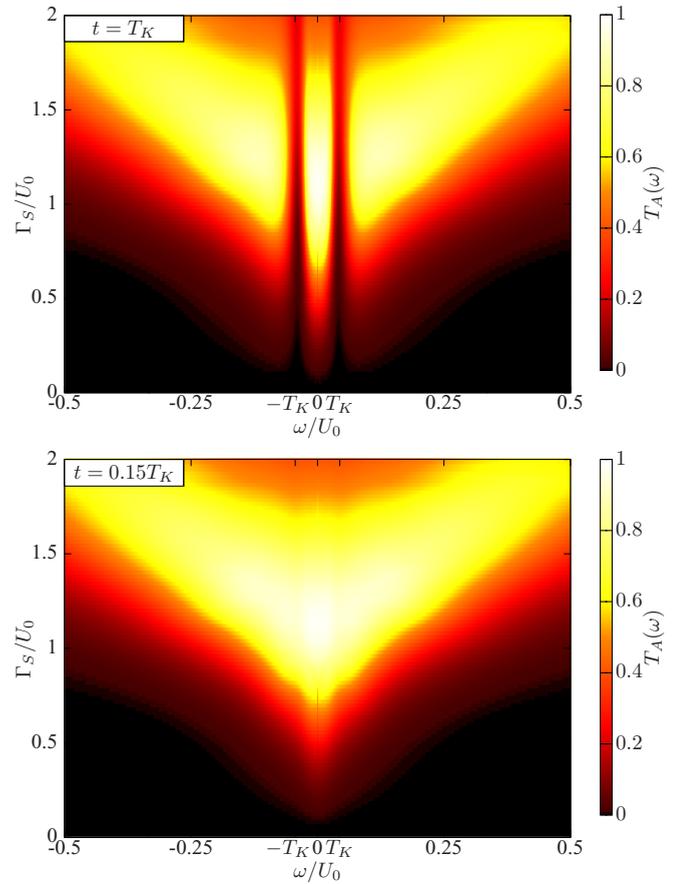


FIG. 14. The Andreev transmittance $T_A(\omega)$ obtained by NRG for the set of model parameters corresponding to Fig. 13.

ground state configuration of QD_0 is $|\sigma\rangle$, therefore it is hardly affected by the superconducting proximity effect, hence the Andreev transmittance [dependent on the off-diagonal terms of the matrix Green's function $\mathbf{G}_0(\omega)$] is negligibly small. With increasing Γ_S the central quantum dot evolves to the BCS-type configuration $v|0\rangle - u|\uparrow\downarrow\rangle$, therefore efficiency of the pairing effects is significantly enhanced as can be seen by bright areas in Fig. 14 for $\Gamma_S \gtrsim U_0$. Such changeover of the QD_0 ground state is, however, detrimental to the Kondo effect because the spinless BCS-type configuration cannot be screened. For $\Gamma_N \neq 0$, this quantum phase transition is a crossover, therefore the Abrikosov-Suhl peak (present at $\omega = 0$ for $\Gamma_S < U_0$) evolves in a fuzzy manner into the Andreev quasiparticles (existing at finite energies). More detailed description of this mechanism has been previously discussed (for the single quantum dot heterostructure) by several authors [20,26].

Let us remark that for $t = T_K$ (top panel in Fig. 14) the subgap transport properties can clearly distinguish between the subradiant and superradiant contributions. Since the Kondo effect is very much affected by the induced electron pairing, its interplay with the Dicke effect becomes highly nontrivial. Empirical observability of the subgap Kondo effect would be, however, feasible only when approaching the singlet to doublet crossover (i.e., when $\Gamma_S \sim U_0$). This fact is unique and it has no resemblance to the properties of triple quantum dots embedded between the normal metallic leads.

V. SUMMARY

We have studied nontrivial interplay between the proximity induced electron pairing and the Dicke-like effect in a heterojunction, comprising three quantum dots vertically coupled between the normal and superconducting leads. This setup allows for a smooth evolution from the weak interdot coupling regime, characterized by the Fano-type interferometric features, to the strong coupling (or molecular) region, revealing signatures of the Dicke-like effect even in absence of correlations. In the latter case the narrow (subradiant) and the broad (superradiant) contributions can be formed either by (i) increasing the interdot coupling t or (ii) reducing the detuning δ of their energies [9–11]. We have examined the electronic structure of the central quantum dot, finding transfer of its spectral weights from the low- to the high-energy states caused by the induced electron pairing.

In the weak interdot coupling (interferometric) regime, the usual subgap quasiparticles (Andreev states) are superimposed with the Fano-type resonant line shapes appearing at $\omega = \epsilon_{\pm 1}$. In the molecular region (for large t), the sub- and superradiant states undergo the splitting. Since the subradiant state is restricted to the energy region $\omega \in (\epsilon_{-1}, \epsilon_{+1})$, its splitting is bounded from above. For this reason the strong electron pairing is detrimental for it, transferring the spectral weight towards the superradiant states. Influence of the electron pairing on the subradiant state can indirectly amplify the subgap Kondo effect (provided that $\mu_N < \epsilon_{-1}$ or $\mu_N > \epsilon_{+1}$) shown by enhancement of the zero-bias Andreev conductance.

We also examined the rich interplay between the correlations, electron pairing, and influence of the side-attached quantum dots by the perturbative method and using the NRG technique. In particular, we argue that the Kondo-Dicke features would be empirically observable only near the singlet-doublet quantum phase transition (crossover). Such subtle effect is caused by crossing of the subgap Andreev quasiparticles which is accompanied by qualitative changeover between the different ground state configurations. The Dicke effect is restricted exclusively to the spinful (doublet) regime, which for the half-filled central quantum dot occurs when $\Gamma_S \gtrsim U_0$. Such complicated many-body effects can be experimentally probed by the subgap Andreev spectroscopy.

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APPENDIX: NORMAL HETEROSTRUCTURES

In this Appendix we illustrate how the molecular (i.e., three peak structure of QD_0 spectrum) gradually emerges from the interferometric (weak interdot coupling) scenario, considering both the external electrodes to be metallic [9–11]. For simplicity we neglect the correlations and introduce the effective coupling $\Gamma_N + \Gamma_S \rightarrow \Gamma_N$. The self-energy is diagonal, therefore we can restrict our considerations only

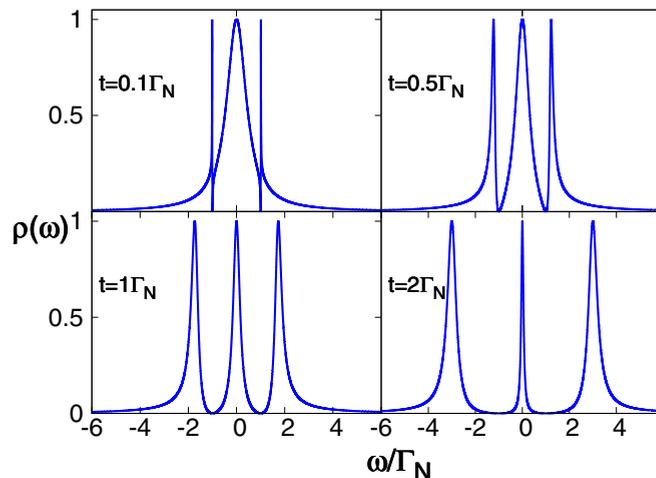


FIG. 15. Evolution of the spectral function $\rho(\omega)$ for varying interdot coupling, from the interferometric (small t) to the molecular (large t) regions.

to the “11” term

$$\Sigma_{0,11}^{U_0=0}(\omega) = -i \frac{\Gamma_N}{2} + \frac{t^2}{\omega - \epsilon_{+1}} + \frac{t^2}{\omega - \epsilon_{-1}}. \quad (A1)$$

Figure 15 displays the spectral function $\rho(\omega)$ calculated for several values of t . For small values of the interdot coupling, the QD_0 spectrum reveals the asymmetric Fano-type line shapes [36] at $\omega \epsilon_{\pm 1}$. Such structures arise when a dominant (broad) transport channel interferes with a discrete (narrow) state, and can be realized in many areas of physics [37]. In our case, the Fano resonances originate by combining a ballistic transport through the central QD_0 with additional pathways to/from the adjacent $QD_{\pm 1}$. By increasing t , the Fano resonances gradually smoothen, and all electronic states nearby the $QD_{\pm 1}$ levels $\epsilon_{\pm 1}$ are effectively depleted. In consequence, this induces the three peak (molecular) structure reported in the previous studies [11]. Further increase of the interdot coupling causes a transfer of the spectral weight from the central (subradiant) to the satellite (superradiant) quasiparticle states.

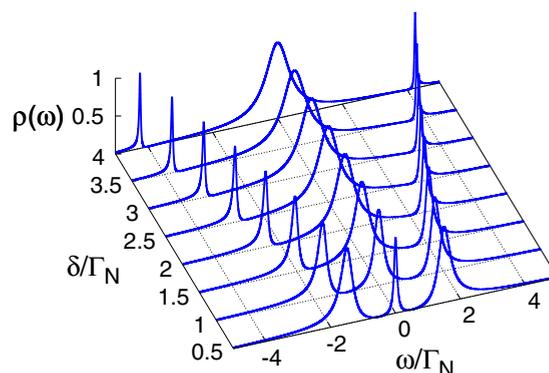


FIG. 16. Evolution of the spectral function $\rho(\omega)$ with respect to the detuning energy δ obtained for the uncorrelated case ($U_j = 0$) in the normal heterostructure ($\Delta = 0$).

Appearance of the narrow (subradiant) and the broad (superradiant) quasiparticle states can be also induced for a

fixed interdot coupling t , by reducing the detuning energy δ . This behavior is shown in Fig. 16.

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