



p-ph-TDA (AND BEYOND) FOR PAIRING

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THE PAIRING HAMILTONIAN

★ The nuclear many-body pairing hamiltonian reads :

$$H = \sum_{\alpha=1}^{\Omega} (\varepsilon_{\alpha} - \lambda) N_{\alpha} - \sum_{\alpha, \beta=1}^{\Omega} G_{\alpha\beta} P_{\alpha}^{\dagger} P_{\beta}$$

where

$$N_{\alpha} = a_{\alpha}^{\dagger} a_{\alpha} + a_{\bar{\alpha}}^{\dagger} a_{\bar{\alpha}} \quad \text{and} \quad P_{\alpha}^{\dagger} = a_{\alpha}^{\dagger} a_{\bar{\alpha}}^{\dagger}$$

★ The symmetric (half-filled; $N = \Omega$) picket-fence model ($\varepsilon_{\alpha} = \alpha\varepsilon$; $\varepsilon = 1$ MeV) with constant pairing G is studied.

★ To ensure particle-hole symmetry, one chooses the chemical potential λ to be equal to

$$\lambda = \varepsilon \left(N + \frac{1}{2} \right) - \frac{G}{2}$$

THE PAIRING HAMILTONIAN

★ Refs: J. Hirsch, A. Mariano, J. Dukelski and P. Schuck, Ann. Phys. 296 (2002) 187; N. Dinh Dang, Phys. Rev. C71, 024302 (2005).

$$M_p = N_p, \quad M_h = 2 - N_h, \quad Q_p^\dagger = P_p^\dagger, \quad Q_h = -P_h^\dagger$$

$$D_p = 1 - M_p = 1 - N_p, \quad D_h = 1 - M_h = -(1 - N_h)$$

★ Single-particle energies:

$$\varepsilon_p = \varepsilon(N + p), \quad \varepsilon_h = \varepsilon(N - h + 1), \quad p, h = 1, \dots, N.$$

★ The hamiltonian can be written in the form:

$$\begin{aligned} H = & -\varepsilon N^2 + \sum_{p=h=1}^N \left[\varepsilon \left(p - \frac{1}{2} \right) + \frac{G}{2} \right] (M_p + M_h) \\ & - G \sum_{pp'} Q_p^\dagger Q_{p'} - G \sum_{hh'} Q_h^\dagger Q_{h'} + G \sum_{ph} (Q_p^\dagger Q_h^\dagger + Q_p Q_h) \end{aligned}$$

SCRPA CALCULATIONS

- ★ Ref.: J. Hirsch, A. Mariano, J. Dukelski and P. Schuck, Ann. Phys. 296 (2002) 187.
- ★ The two-particle addition operator is defined as:

$$A_{\tau}^{\dagger} = \sum_p X_p^{\tau} \bar{Q}_p^{\dagger} - \sum_h Y_h^{\tau} \bar{Q}_h$$

where

$$\bar{Q}_p^{\dagger} = \frac{Q_p^{\dagger}}{\sqrt{\langle D_p \rangle}}, \quad \bar{Q}_h = \frac{Q_h}{\sqrt{\langle D_h \rangle}}$$

- ★ This leads to the SCRPA equations in matrix form:

$$\begin{pmatrix} A & B \\ -B & C \end{pmatrix} \begin{pmatrix} X \\ Y \end{pmatrix} = \hbar \Omega_{\tau} \begin{pmatrix} X \\ Y \end{pmatrix}$$

EXPLICIT FORM FOR SCRPA

★ Ref.: J. Hirsch, A. Mariano, J. Dukelski and P. Schuck, Ann. Phys. 296 (2002) 187.

$$\begin{aligned}
 A_{pp'}^{SCRPA} &= 2 \left\{ \left[\varepsilon \left(p - \frac{1}{2} \right) + \frac{G}{2} \right] \right. \\
 &+ \frac{G}{\langle D_p \rangle} \left[\sum_{p''} \langle Q_{p''}^\dagger Q_p \rangle - \sum_{h''} \langle Q_p Q_{h''} \rangle \right] \left. \right\} \delta_{pp'} \\
 &- G \frac{\langle D_p D_{p'} \rangle}{\sqrt{\langle D_p \rangle \langle D_{p'} \rangle}} \\
 B_{ph}^{SCRPA} &= G \frac{\langle D_p D_h \rangle}{\sqrt{\langle D_p \rangle \langle D_h \rangle}} \\
 C_{hh'}^{SCRPA} &= -2 \left\{ \left[\varepsilon \left(h - \frac{1}{2} \right) + \frac{G}{2} \right] \right. \\
 &+ \frac{G}{\langle D_h \rangle} \left[\sum_{h''} \langle Q_h^\dagger Q_{h''} \rangle - \sum_{p''} \langle Q_{p''}^\dagger Q_h \rangle \right] \left. \right\} \delta_{hh'} \\
 &+ G \frac{\langle D_h D_{h'} \rangle}{\sqrt{\langle D_h \rangle \langle D_{h'} \rangle}}
 \end{aligned}$$

r-RPA AND (pp)-RPA SIMPLIFICATIONS

★ The r-RPA equations are obtained if one neglects all the expectation values $\langle Q_{p'}^\dagger Q_p \rangle$, $\langle Q_p Q_h \rangle$ and $\langle Q_h^\dagger Q_{h'} \rangle$, and by the simplification

$$\langle D_i D_j \rangle \simeq \langle D_i \rangle \langle D_j \rangle$$

★ The (pp)-RPA equations are obtained if one sets

$$D_p = D_h = 1$$

EXPLICIT FORMS FOR r-RPA AND (pp)-RPA

$$A_{pp'}^{r-RPA} = 2 \left[\varepsilon \left(p - \frac{1}{2} \right) + \frac{G}{2} \right] \delta_{pp'} - G \sqrt{\langle D_p \rangle \langle D_{p'} \rangle}$$

$$B_{ph}^{r-RPA} = G \sqrt{\langle D_p \rangle \langle D_h \rangle}$$

$$C_{hh'}^{r-RPA} = -2 \left[\varepsilon \left(h - \frac{1}{2} \right) + \frac{G}{2} \right] \delta_{hh'} + G \sqrt{\langle D_h \rangle \langle D_{h'} \rangle}$$

$$A_{pp'}^{(pp)-RPA} = 2 \left[\varepsilon \left(p - \frac{1}{2} \right) + \frac{G}{2} \right] \delta_{pp'} - G$$

$$B_{ph}^{(pp)-RPA} = G$$

$$C_{hh'}^{(pp)-RPA} = -2 \left[\varepsilon \left(h - \frac{1}{2} \right) + \frac{G}{2} \right] \delta_{hh'} + G$$

pp-TDA CALCULATIONS

- ★ If in the pp-RPA matrix one sets the off-diagonal blocs to zero ($B=0$), one obtains the pp-TDA equations.
- ★ The pp-TDA equations can be alternatively derived by postulating

$$|pp - TDA, \tau\rangle = \sum_{m>0} C_m^\tau a_m^\dagger a_{\bar{m}}^\dagger |HF\rangle$$

and by solving the secular equation

$$\hat{H}|pp - TDA, \tau\rangle = E_\tau|pp - TDA, \tau\rangle$$

leading to the matrix form

$$\sum_{m'>0} H_{mm'} C_{m'}^\tau = E_\tau C_m^\tau$$

pp-TDA CALCULATIONS

★ We notice that the matrix element

$$H_{mm'} = \left\{ \sum_{i;occ.} [2(\varepsilon_{i;occ.} - \lambda) - G_{ii}] + 2(\varepsilon_m - \lambda) \right\} \delta_{mm'} - G_{mm'}$$

is equal to $A_{mm'}$ in the pp-RPA equations, up to the constant term

$$\sum_{i;occ.} [2(\varepsilon_{i;occ.} - \lambda) - G_{ii}] = \langle HF | \hat{H} | HF \rangle$$

pphh-TDA CALCULATIONS

★ We now postulate

$$|pphh - TDA, \tau\rangle = C_{00}^\tau |HF\rangle + \sum_{mi(>0)} C_{mi}^\tau a_m^\dagger a_{\bar{m}}^\dagger a_{\bar{i}} a_i |HF\rangle$$

★ This leads to the secular equation

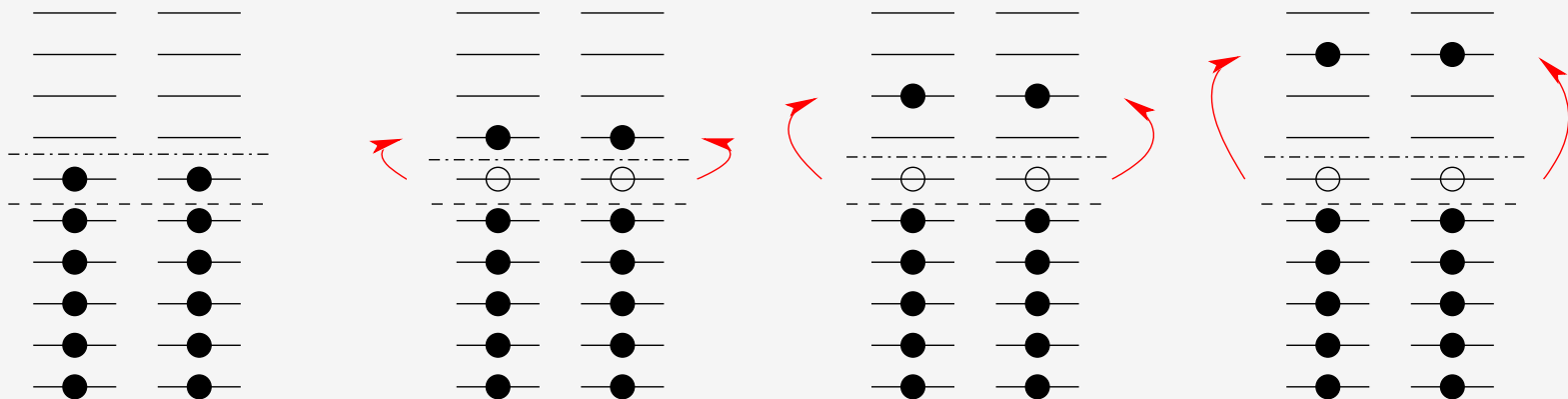
$$\sum_{nj} H_{mi;nj} C_{nj}^\tau = E_\tau C_{mi}^\tau$$

★ Where the matrix elements are given by

$$\begin{aligned} H_{mi;nj} &= \{ \sum_{i'} [2(\epsilon_{i'} - \lambda) - G_{i'i'}] + 2(\epsilon_m - \epsilon_i) + 2G_{ii} \} \delta_{ij} \delta_{mn} \\ &- \delta_{ij} G_{mn} - \delta_{mn} G_{ij} \end{aligned}$$

QUALITATIVE COMPARISON

- ★ In the pphh-TDA formalism, the properties of the (A)-nucleons system are directly described without distinguishing between addition and removal operators.
- ★ For the addition modes, the pp-TDA represent a first approximation to the pphh-TDA calculations, in which one would restrict oneself to the subclass of 1-pair states originating from the level located immediately below the no-interaction Fermi surface for the (A+2)-nucleons system.



BEYOND pphh-TDA: THE PSY-MB METHOD

- ★ The idea is to enlarge the many-body basis with 2-pairs, 3-pairs etc. configurations, where a certain energy cut-off is used.
- ★ The pphh-TDA is then equivalent to the PSY-MB procedure in which only the ground-state and the 1-pair configurations are taken into account.
- ★ Direct diagonalization of max. 100 000 configurations is performed with the Lanczos procedure.
- ★ Ref.: H.M. and J. Dudek, Phys. Rev. C 56 (1997) 1795

FORMAL MATRIX STRUCTURE

	GS	1-pair	2-pairs	3-pairs	4-pairs	...
GS	X	X	0	0	0	...
1-pair	X	X	X	0	0	...
2-pairs	0	X	X	X	0	...
3-pairs	0	0	X	X	X	...
4-pairs	0	0	0	X	X	...
...

SPACES AND REGIMES STUDIED

★ Small space: 24 particles on 48 levels (2 704 156 s=0 states):

→ GS

→ 144 1-pair states

→ 4 356 2-pairs states

→ 48 400 3-pairs states

★ Large space: 32 particles on 64 levels (601 080 390 s=0 states):

→ GS

→ 256 1-pair states

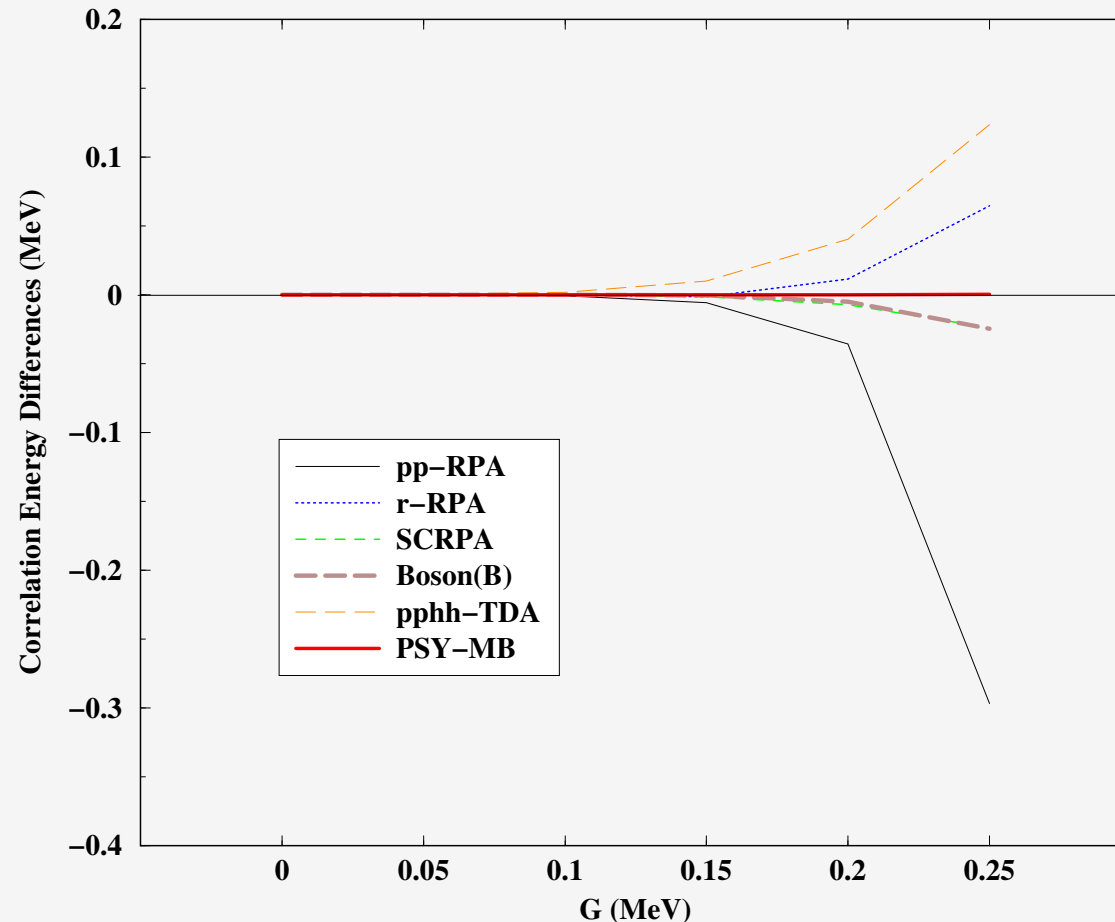
→ 14 400 2-pairs states

→ 313 600 3-pairs states

★ The normal and the superfluid regime is studied.

24/48 - CORR. ENERGY OF THE G.S.

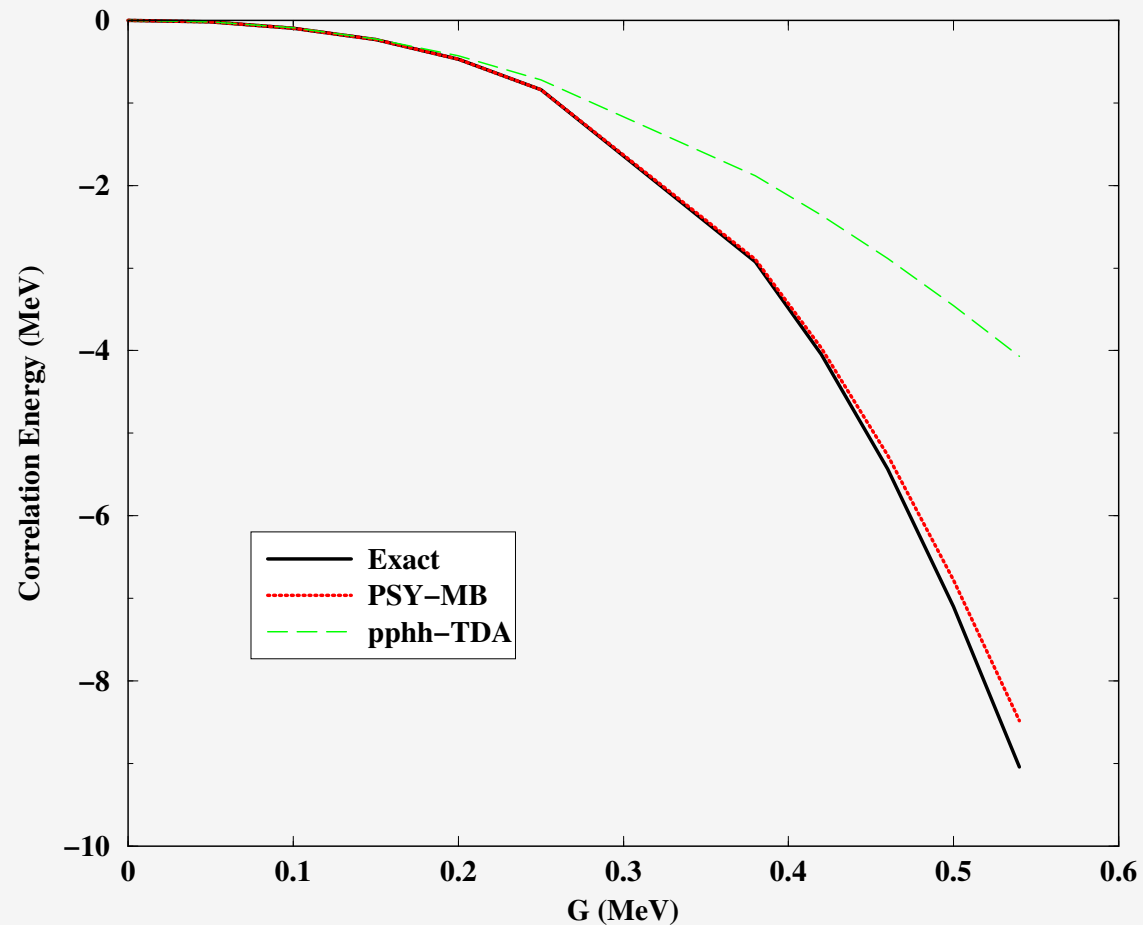
- ★ Only small values of the pairing interaction strength are considered.
- ★ Refs.: J. Hirsch et al., Ann. Phys. 296 (2002) 187, D. Gambacurta et al., Phys. Rev. C73, 014310 (2006).



- Too strong correlations in the RPA ground-state and existence of RPA collapse.
- Too less correlations in the pph-TDA and r-RPA ground-states.
- SCRPA and Boson(B) formalisms give almost identical results.
- PSY-MB gives almost exact results while only 2 % of the $s=0$ basis states are used.

24/48 - CORR. ENERGY OF THE G.S.

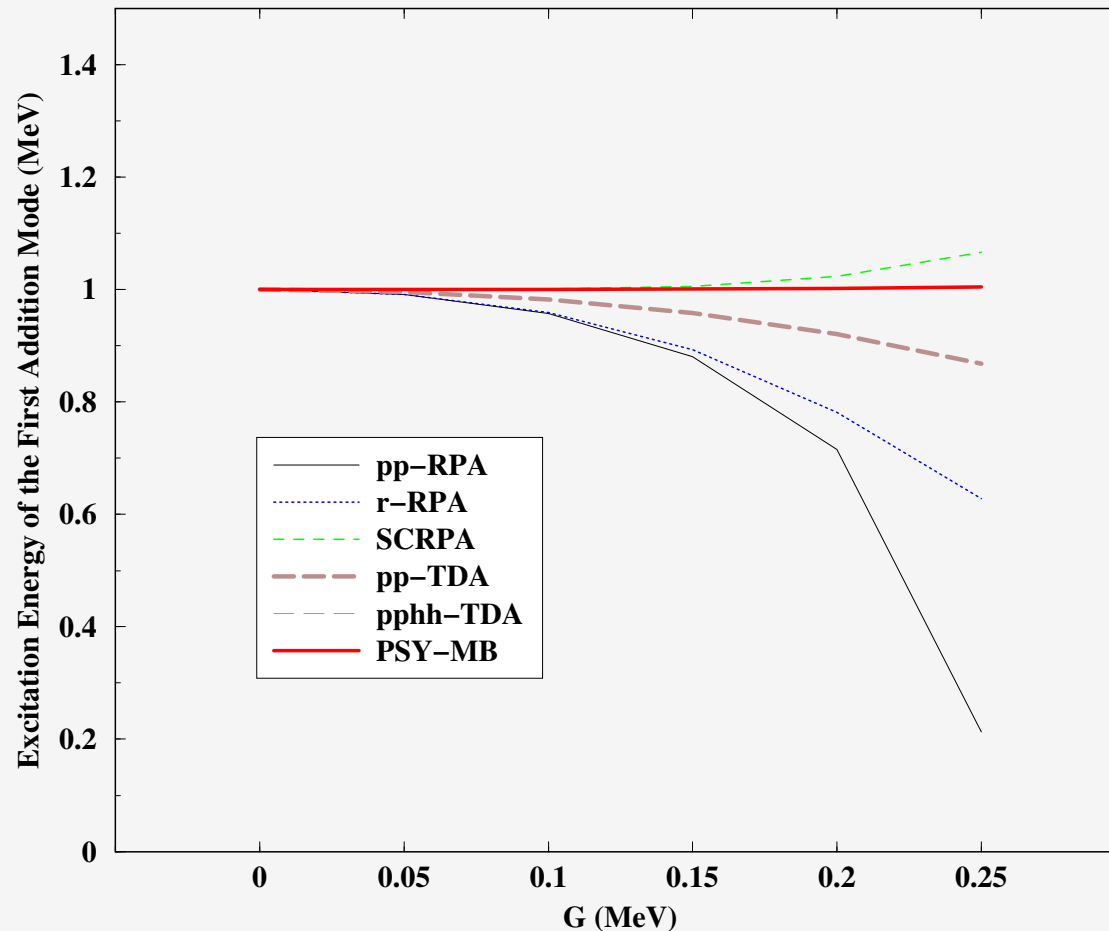
- ★ Correlation energies are plotted.
- ★ Large values of the pairing interaction strength are considered.



- PSY-MB gives good results also for large values of the pairing strength, where the RPA solution has collapsed. There exists no abrupt phase transition.
- The pph-TDA method is not powerful enough in the regime of very strong interactions.

24(26)/48 - EN. OF FIRST ADD. MODE

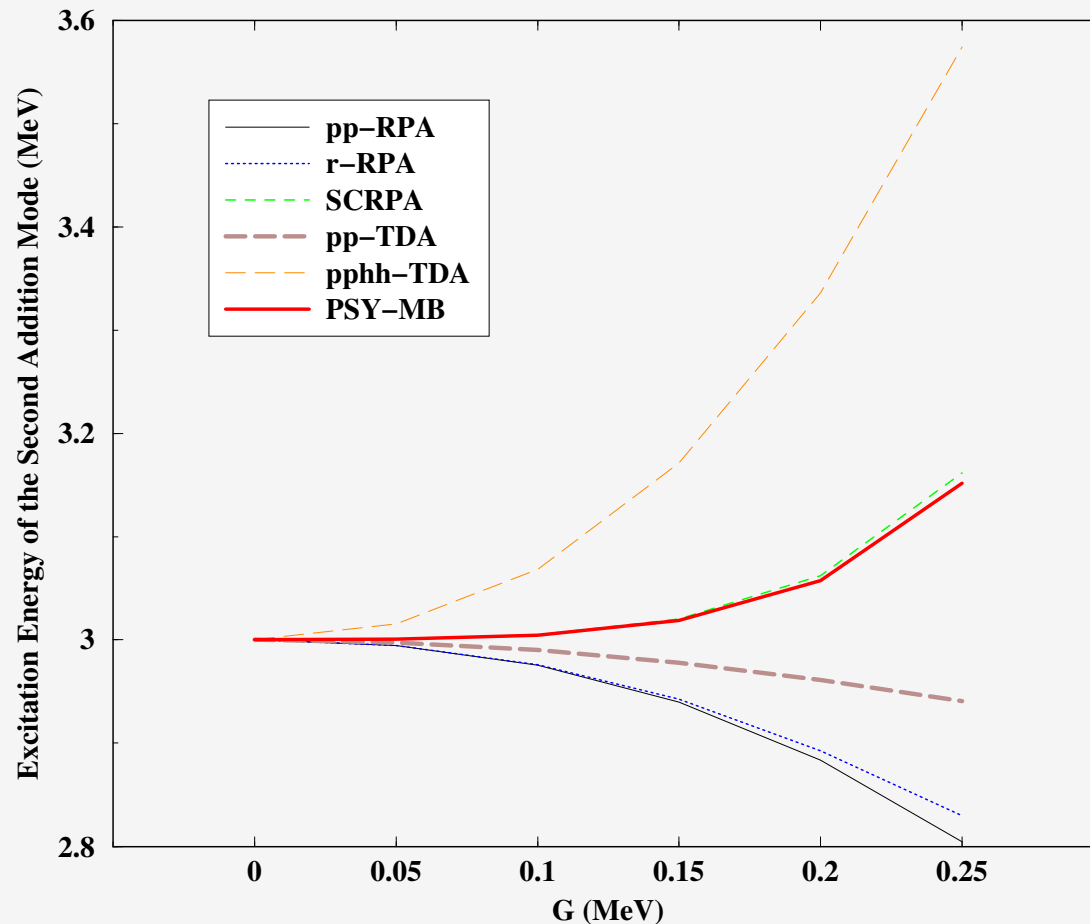
- ★ Excitation energies are given with respect to the ground-state of the 24 particles system.
- ★ Only small values if the pairing interaction strength are considered.



- The RPA and r-RPA results show the wrong tendency to decrease, as well as the pp-TDA.
- The correct trend (increase) is given by the SCRPA, pph-TDA and the PSY-MB methods.
- The results of the pph-TDA and PSY-MB calculations are almost undistinguishable.
- The simpler pp-TDA calculations are doing better here than the pp-RPA or r-RPA versions.

24(26)/48 - EN. OF SECOND ADD. MODE

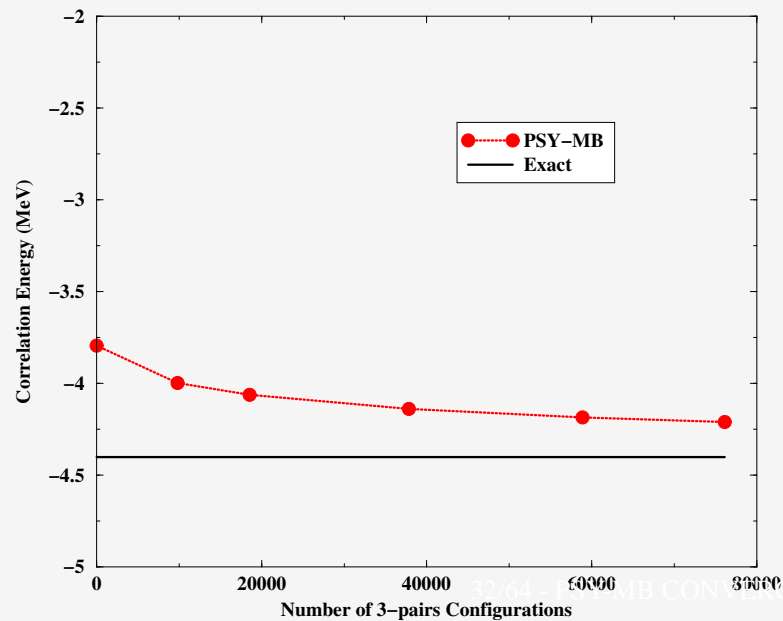
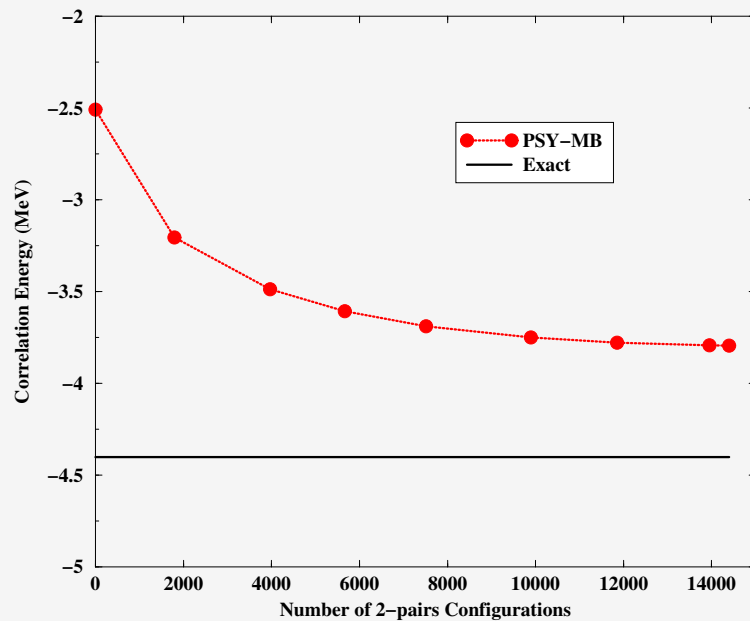
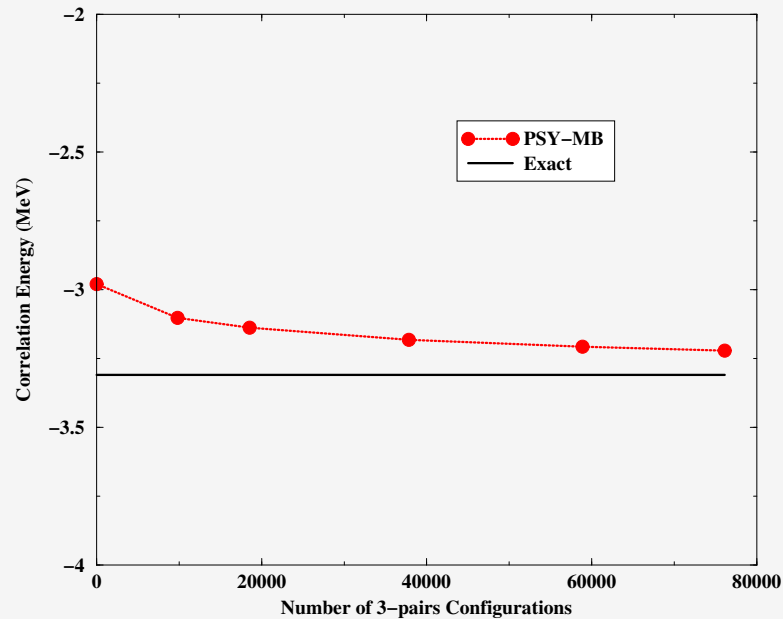
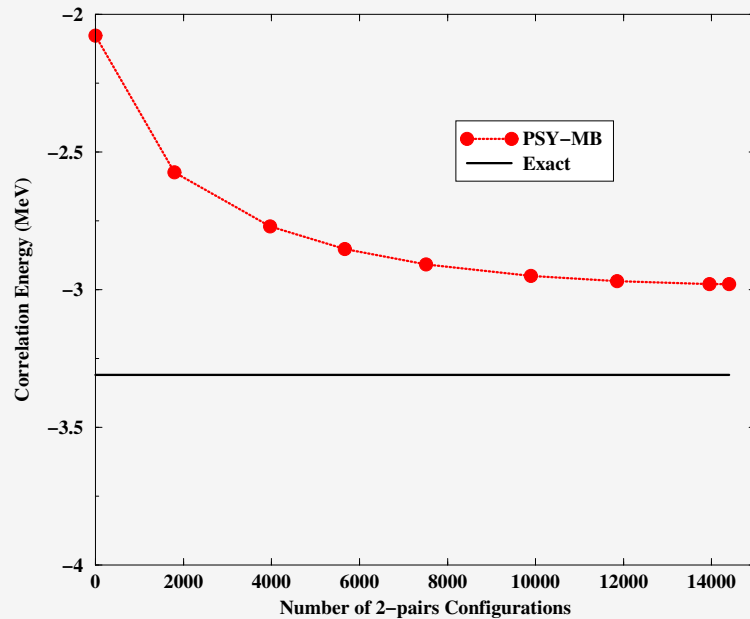
- ★ Excitation energies are given with respect to the ground-state of the 24 particles system..
- ★ Only small values of the pairing interaction strength are considered.



- Very similar behaviour of the pp-RPA, the r-RPA and the pp-TDA than for the first addition mode.
- Results no longer good for the pphh-TDA case.
- SCRPA and PSY-MB results are extremely close.

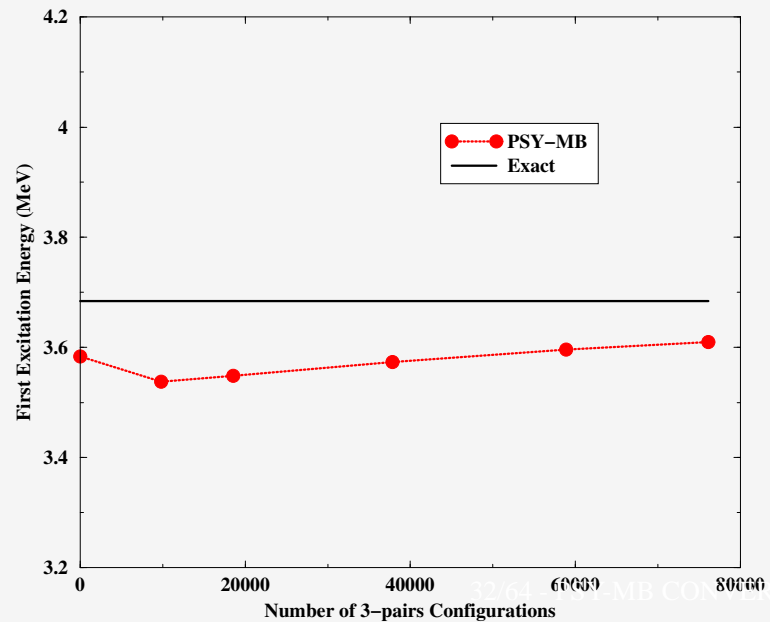
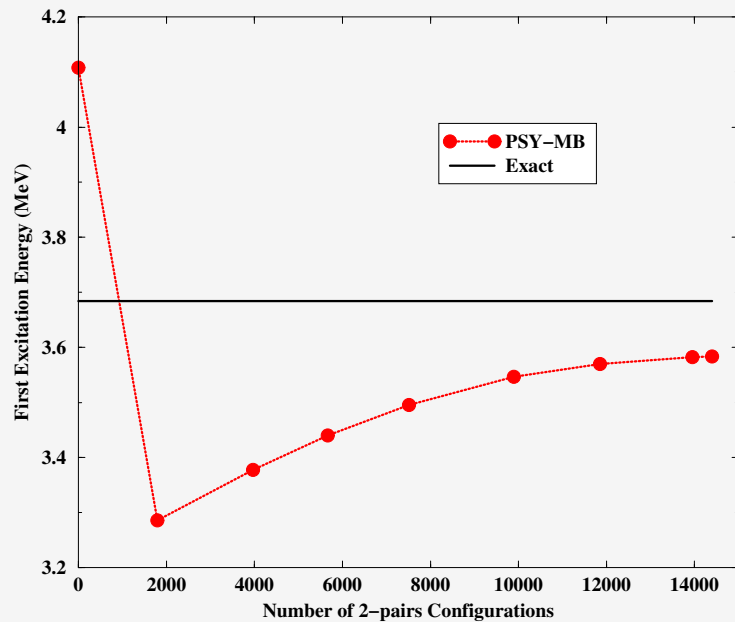
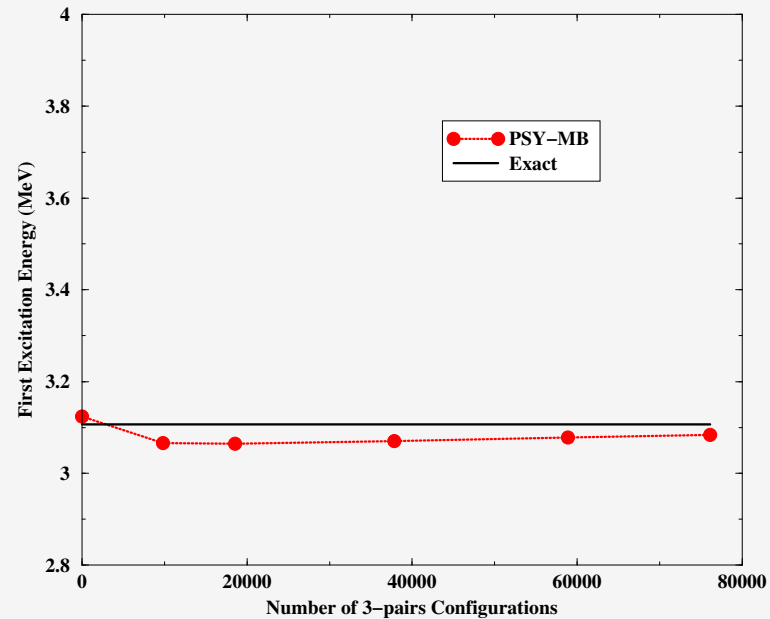
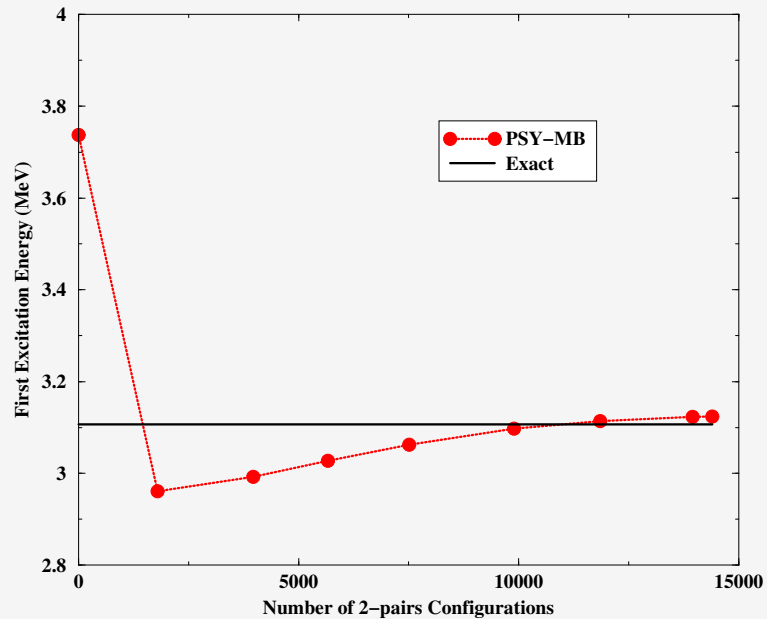
32/64 - PSY-MB CONVERGENCE

★ Top row: $G=0.345$ MeV. Bottom row: $G=0.375$ MeV.



32/64 - PSY-MB CONVERGENCE

★ Top row: $G=0.345$ MeV. Bottom row: $G=0.375$ MeV.



32/64 - RECALLING BCS EQUATIONS

★ We briefly recall the BCS equations (attention: here N counts the number of single-particle doublet-orbitals):

$$\begin{aligned} 1 &= \frac{G}{2} \sum_i \frac{1}{\tilde{\epsilon}_i} \\ N &= \sum_i v_i^2 \end{aligned}$$

where the quasi-particle energies are given by:

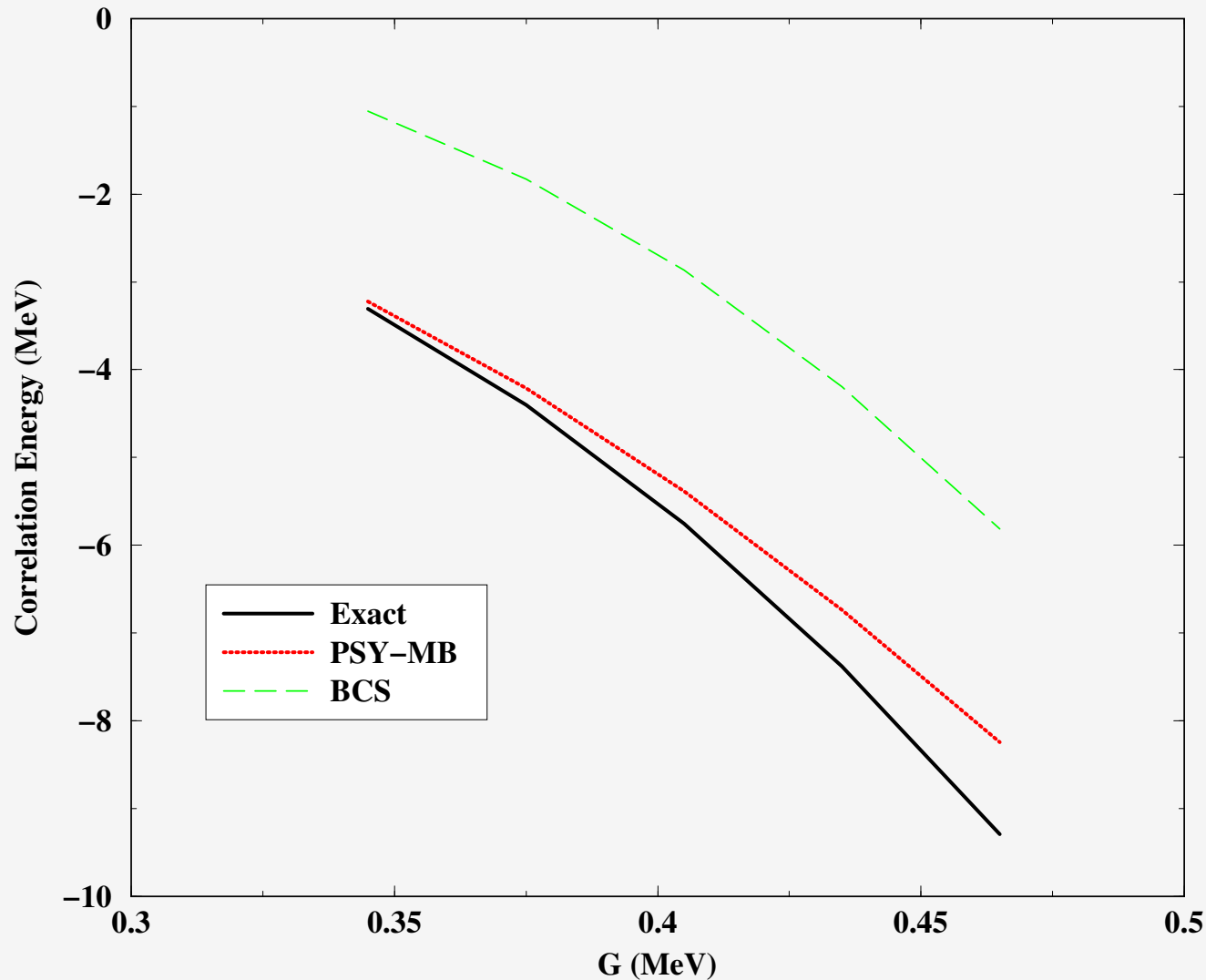
$$\tilde{\epsilon}_i = \sqrt{(\epsilon_i - \lambda - Gv_i^2)^2 + \Delta^2}$$

★ The BCS ground-state energy is given by:

$$E_{BCS} = \sum_i \left(2\epsilon_i - Gv_i^2 \right) v_i^2 - \Delta^2 / G$$

32/64 - SUPERFLUID REGIME

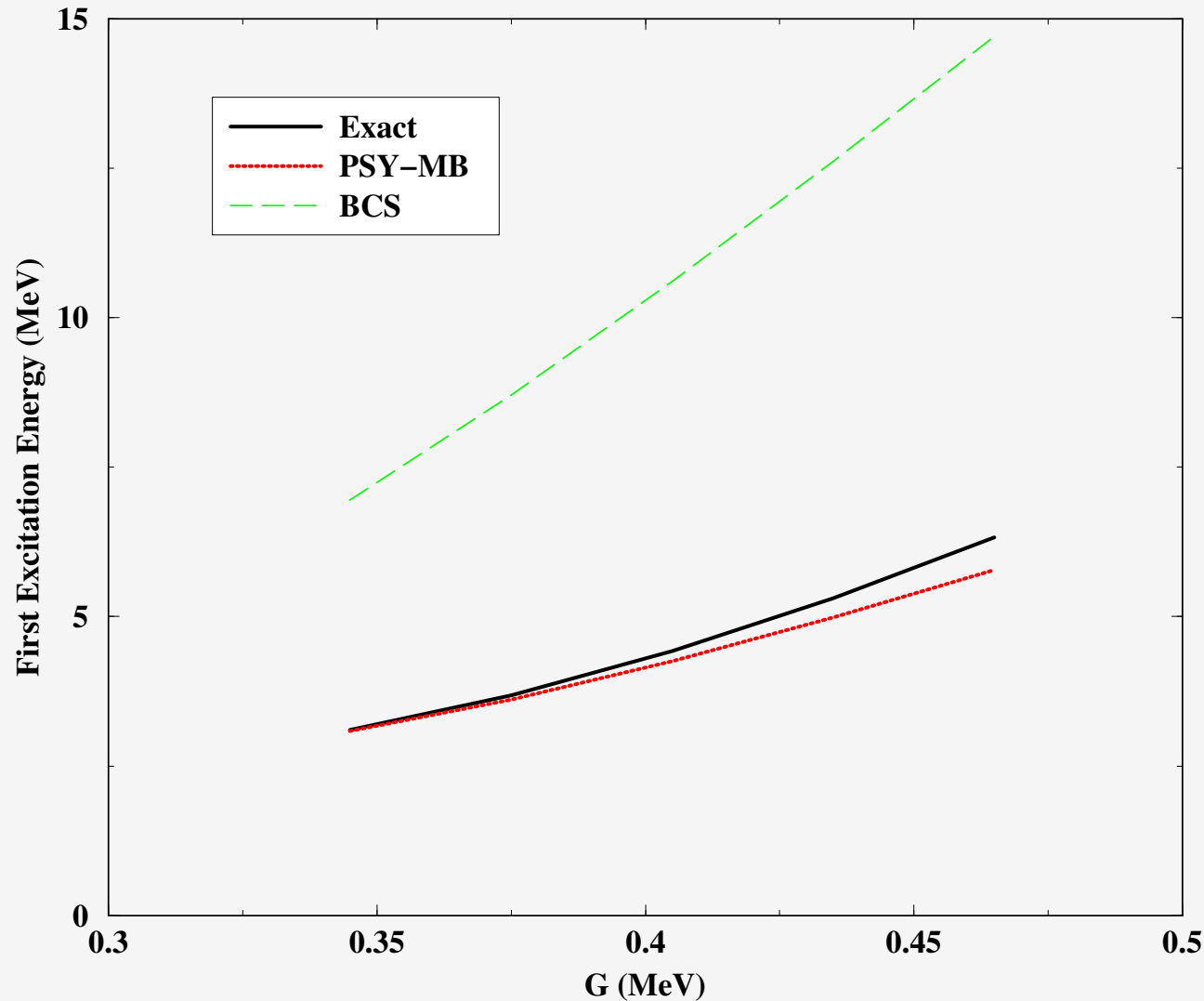
→ PSY-MB calculations are performed with less than 0.02 % of the basis configurations.



→ PSY-MB gives good correlation energies for the superfluid regime.

→ The BCS correlation energies are much too large and the results differ stronger from the exact results as G increases. This is also the case for the PSY-MB method.

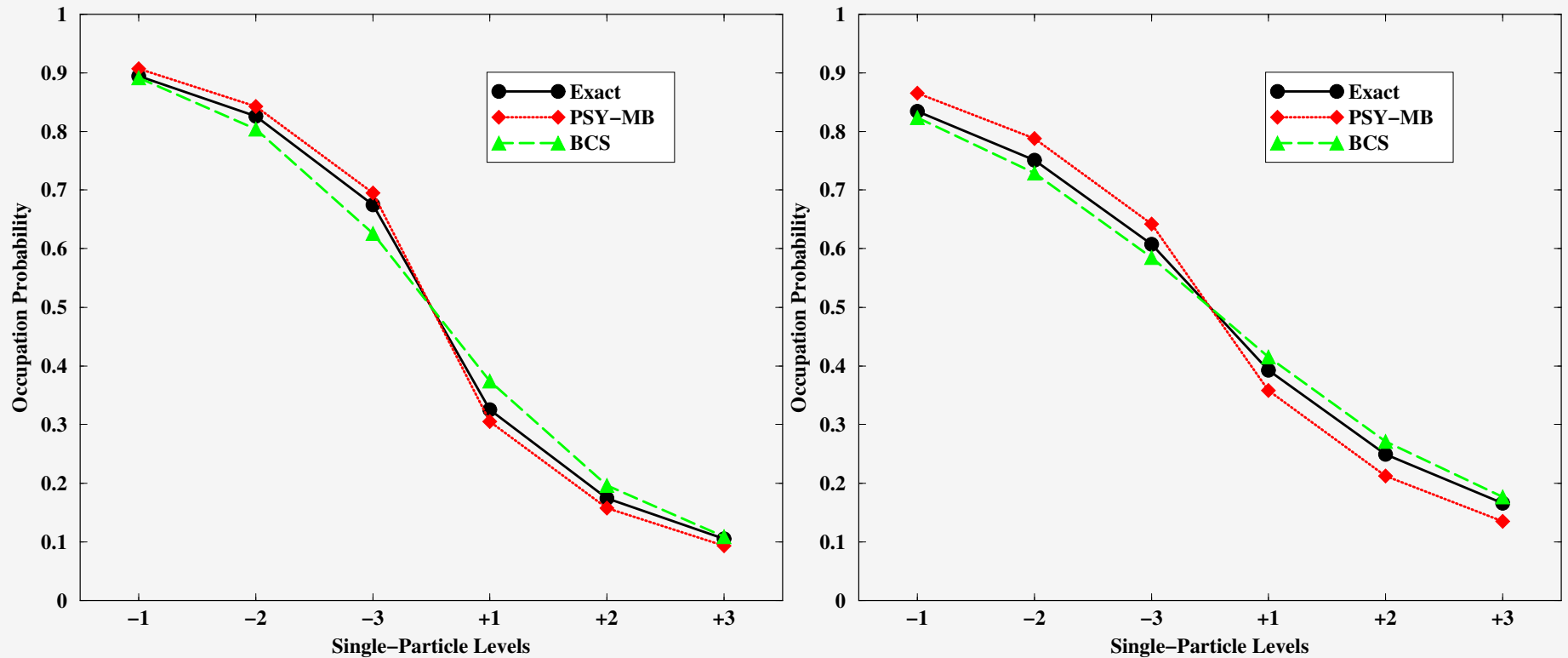
32/64 - SUPERFLUID REGIME



- The $s=0$ excitations are described by 4 quasi-particles.
- PSY-MB gives again good results, also for the first excitation energy of the $s=0$ system.
- The BCS values are, here also, too large, and differ more for greater interaction strengths.

32/64 - SUPERFLUID REGIME

★ Left: $G=0.375$ MeV. Right: $G=0.435$ MeV.



→ As G increases the deviations between the exact results and the PSY-MB results get larger.

→ Conversely, the BCS occupation probabilities are in better agreement with the exact results, for the larger value of the pairing strength !

CONCLUSIONS

- ★ The half-filled picket fence model has been employed in order to test various methods for the nuclear pairing correlations.
- ★ A small system composed of 24 particles on 48 levels, as well as a large system of 32 particles on 64 levels has served as the model spaces.
- ★ An analysis in the normal and the superfluid regime has been performed. The crucial point is that no artificial abrupt phase transition has to be seen.
- ★ Very close results have been obtained in comparing the SCRPA and the PSY-MB methods in the normal regime. We look forward to see an extension of the SCRPA method to the superfluid regime (QPSCRPA ?).
- ★ The BCS approximation has been widely used, but has also received some criticism over the years. However, the conclusions may vary depending on which criteria the arguments are based.
- ★ The PSY-MB method provides a robust treatment for systems composed of about 30 particles on 60 levels, up to relatively large values of the pairing strength.
- ★ This gives a good hope for realistic calculations in the future.